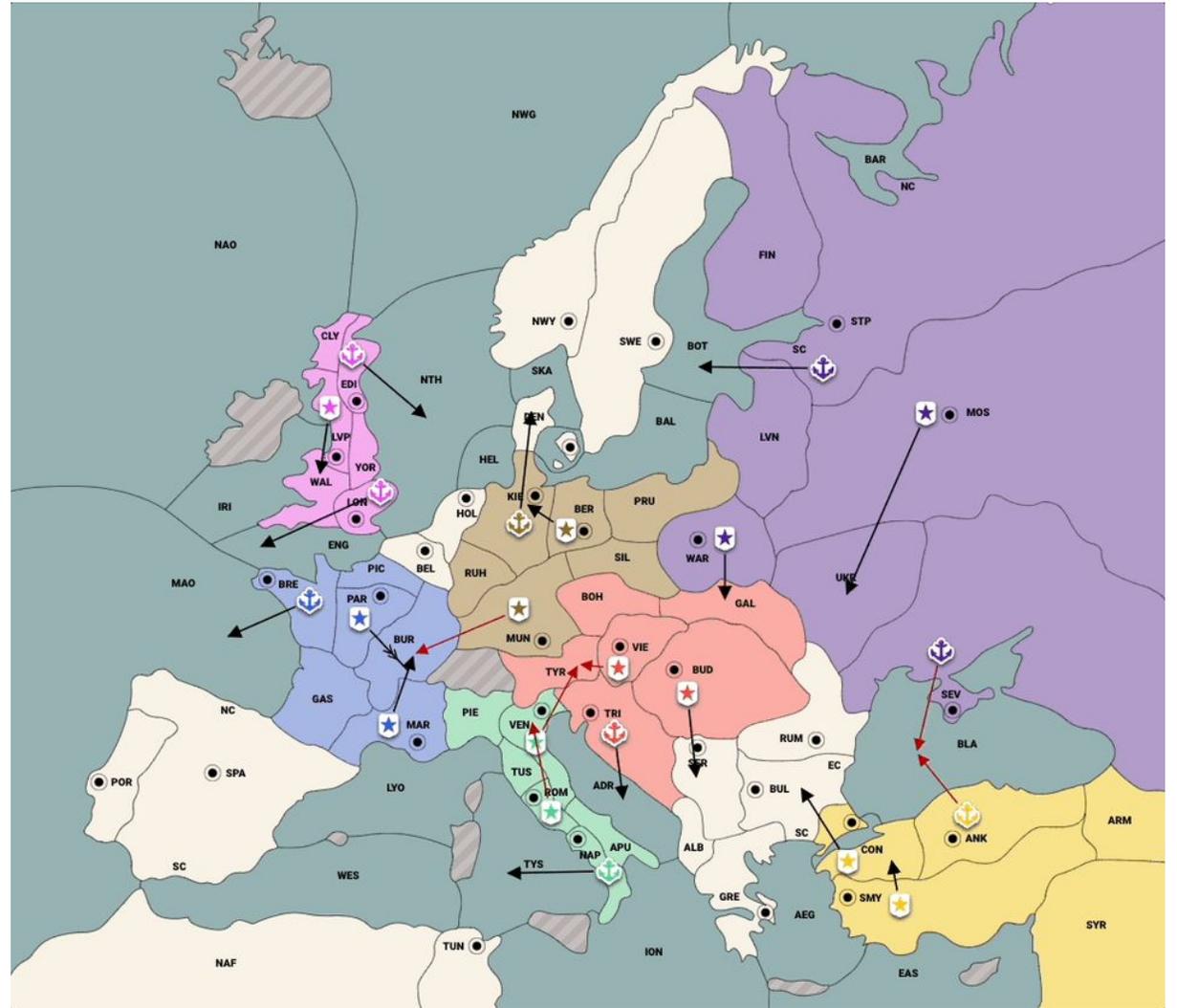
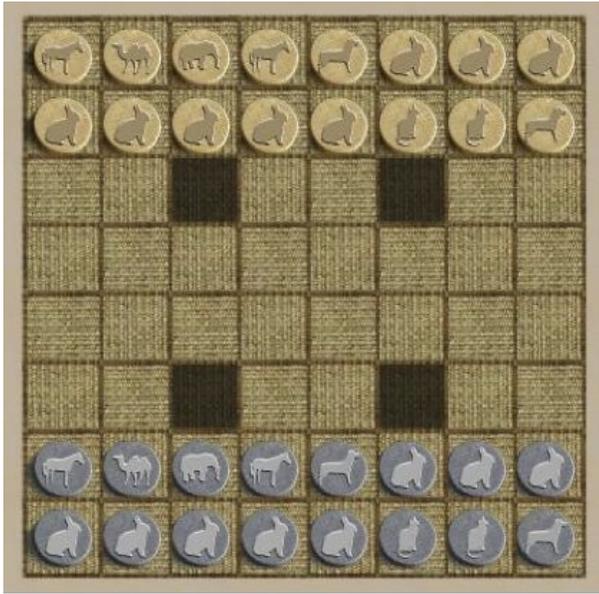


# Learning to Cooperate and Compete via Self Play

Noam Brown



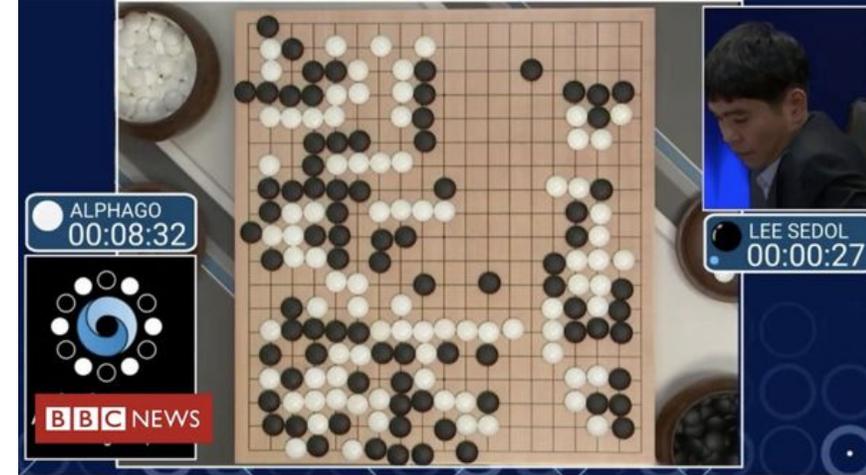


# DIFFICULTY OF VARIOUS GAMES FOR COMPUTERS

EASY

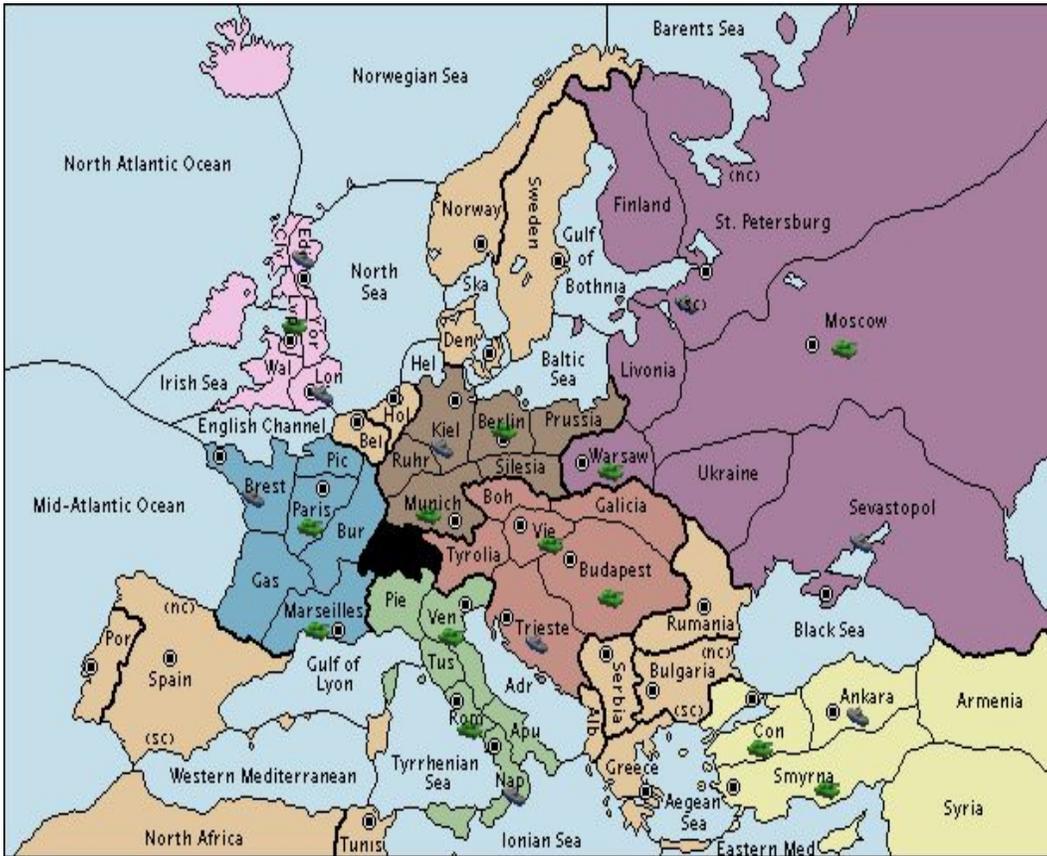
SOLVED COMPUTERS CAN PLAY PERFECTLY	SOLVED FOR ALL POSSIBLE POSITIONS	<p>TIC-TAC-TOE</p> <p>NIM</p> <p>GHOST (1989)</p> <p>CONNECT FOUR (1995)</p>
	SOLVED FOR STARTING POSITIONS	<p>GOMOKU</p> <p>CHECKERS (2007)</p>
COMPUTERS CAN BEAT TOP HUMANS		<p>SCRABBLE</p> <p>COUNTERSTRIKE</p> <p>REVERSI</p> <p>BEER PONG (UIUC ROBOT)</p> <p>CHESS { FEBRUARY 10, 1996: FIRST WIN BY COMPUTER AGAINST TOP HUMAN NOVEMBER 21, 2005: LAST WIN BY HUMAN AGAINST TOP COMPUTER</p>
		<p>JEOPARDY!</p> <p>STARCRRAFT 2019</p> <p>POKER 2017/2019</p> <p>ARIMAA 2015</p> <p>GO 2016</p>
COMPUTERS STILL LOSE TO TOP HUMANS (BUT FOCUSED R&D COULD CHANGE THIS)		<p>MAO</p> <p>SEVEN MINUTES IN HEAVEN</p> <p>CALVINBALL</p>

HARD





The scientist  
named the  
population, after their  
distinctive horn,  
Ovid's Unicorn.



**GERMANY:** Want support to Sweden?

**ENGLAND:** Let me think on that. It seems good but I think I might just lose it again straightaway.

**GERMANY:** we can guarantee it this turn and then Nwy the following one. I take back Den and we both build

**ENGLAND:** Would Nwy be guaranteed? I assume Swe would retreat to Ska

- A popular strategy game from the 50s
  - 7 players trying to conquer Europe in WW1
  - JFK and Kissinger's favorite game
- Each turn involves **private natural language negotiation**
- Moves are done simultaneously
  - e.g. F CLY - NWG, A DEN H, F SKA S A SWE – NWY, ...
- Alliances and trust-building are key!
- Long considered a **challenge problem for AI [1]**
  - Research going back to the 80's
  - Research picked up in 2019 with work from MILA, DeepMind, ourselves, others

[1] Dafoe et al. "Cooperative AI: machines must learn to find common ground". Nature comment, 5/2021

If you've ever heard of Diplomacy, chances are you know it as "the game that ruins friendships." It's also likely you've never finished an entire

# Diplomacy: The Map That Ruined a Thousand Friendships

HENRY GRABAR MARCH 7, 2013

## Diplomacy: The Most Evil Board Game Ever Made



Haoran Un |

Nov 10 2017 10:30am. Filed to:

Share

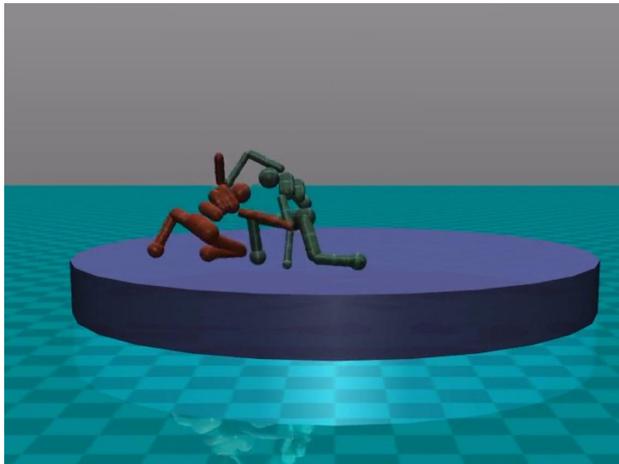
“Diplomacy is ultimately about **building trust** in an environment that encourages you to not trust anyone.”

-Andrew Goff

3-Time Diplomacy World Champion



# Self-Play in 2p 0-Sum Games



# Who is the better poker player?

Option 1: Someone who, over a large enough sample size, wins head-to-head vs. any other player

Option 2: Someone who makes more money playing poker than anyone else



# Who is the better poker player?

## Minimax Equilibrium

Option 1: Someone who, over a large enough sample size, wins head-to-head vs. any other player

## Population Best Response

Option 2: Someone who makes more money playing poker than anyone else



# Minimax Equilibrium

## Minimax Equilibrium in 2p0sum:

each player's strategy is optimal given the other player's policy

In balanced games, playing minimax ensures you will not lose on average

**Exploitability:** How much we'd lose to a best response

	Round 1	Round 2	Round 3
Us			
Best Response			

Our Exploitability = 1

# Minimax Equilibrium

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# Minimax Equilibrium

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In balanced games, playing minimax ensures you will not lose on average

**Exploitability:** How much we'd lose to a best response

	Round 1	Round 2	Round 3
Us			
Best Response			

Our Exploitability = 0

# Minimax Equilibrium

“Poker is simple, as your opponents make mistakes, you profit.”

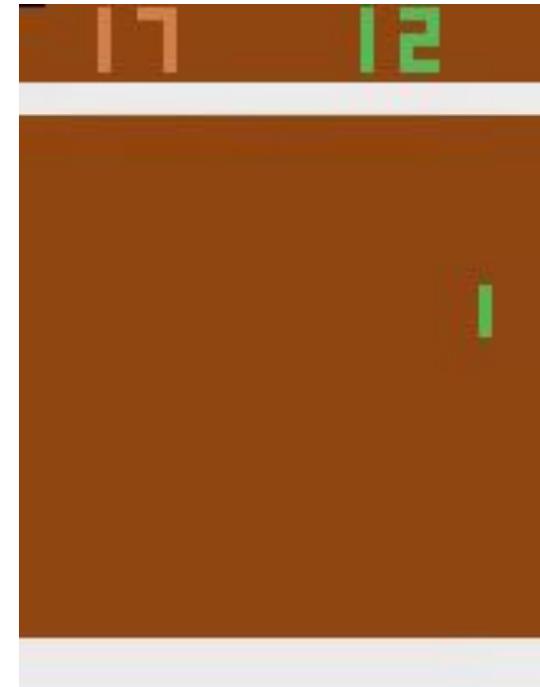
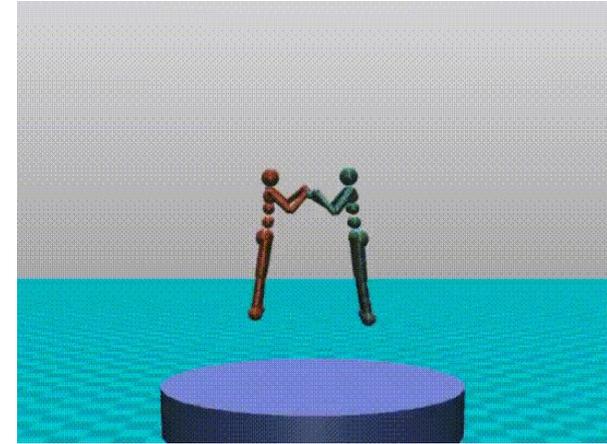
-Ryan Fee's Poker Strategy Guide

	Round 1	Round 2	Round 3
Us			
Best Response			

Our Exploitability = 0

# Self-play in two-player zero-sum games

- In **self-play**, an agent gradually improves by playing against copies of itself
- Initial strategy can be completely random
- In balanced **two-player zero-sum** games, **sound self-play** provably converges to a **minimax equilibrium**
- Thus, given sufficient memory and compute, **any finite two-player zero-sum game can be “solved” via self-play**



# Self-play in two-player zero-sum games

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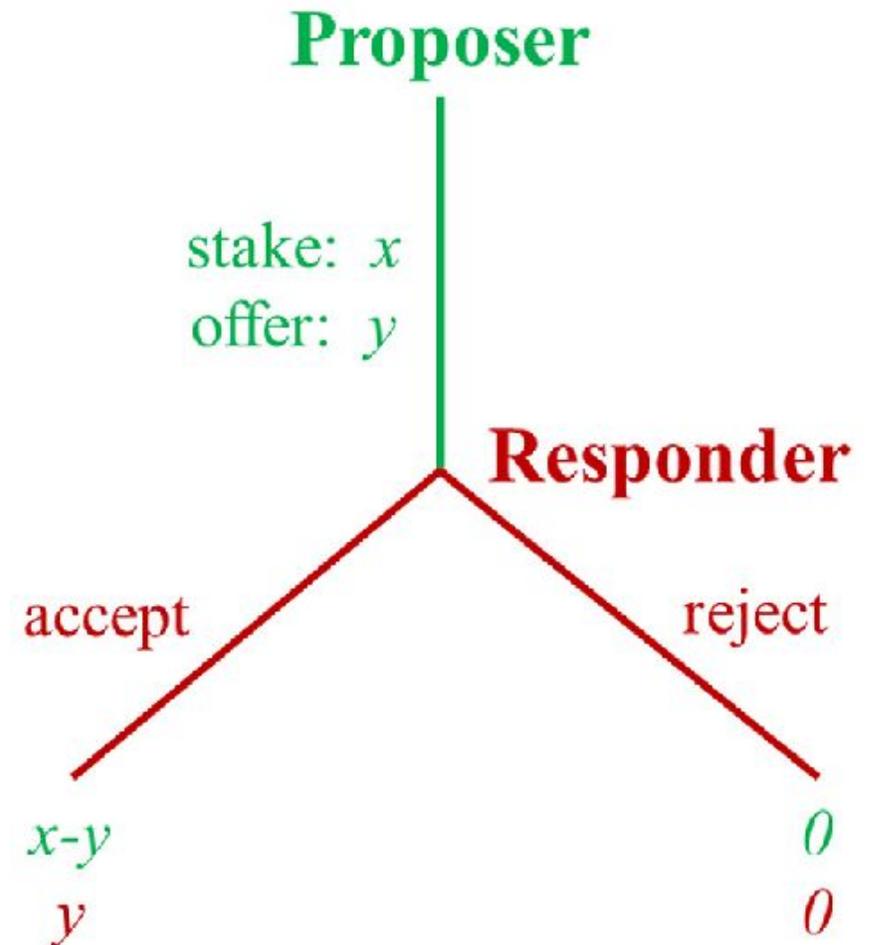


**Question:** Why is self play limited to two-player zero-sum games?

**Answer:** Because outside two-player zero-sum games, unlimited memory and compute isn't enough. You may need human data as well!

# Ultimatum Game

- Alice is given \$100
- Alice must offer \$0 - \$100 to Bob
- Then, Bob must decide whether to **accept** or **reject**
  - If Bob **accepts**, then Alice and Bob keep their money
  - If Bob **rejects**, then Alice and Bob get nothing



# DORA: No-press Diplomacy from Scratch [1]

- DORA learns no-press Diplomacy through self-play
  - Similar to AlphaZero
- Performance with humans in 2-player no-press Diplomacy:
  - **Win rate: 86.5% +/- 6.1%** vs human experts
- Performance with bots in 7-player no-press Diplomacy:



1x ↓ vs 6x →	DipNet [24]	SearchBot [11]	<i>DORA</i>	<i>HumanDNVI-NPU</i>
DipNet [24]	-	0.8%±0.4%	0.0%±0.0%	0.1%±0.0%
SearchBot [11]	49.4%±2.6%	-	1.1%±0.4%	0.5%±0.2%
<i>DORA</i>	22.8%±2.2%	11.0%±1.5%	-	2.2%±0.4%
<i>HumanDNVI-NPU</i>	45.6%±2.6%	36.3%±2.4%	3.2%±0.7%	-

[1] [Bakhtin, Wu, Lerer, Brown. NeurIPS 2021]

# piKL- Human-regularized RL and planning

(Jacob et al. 2022)

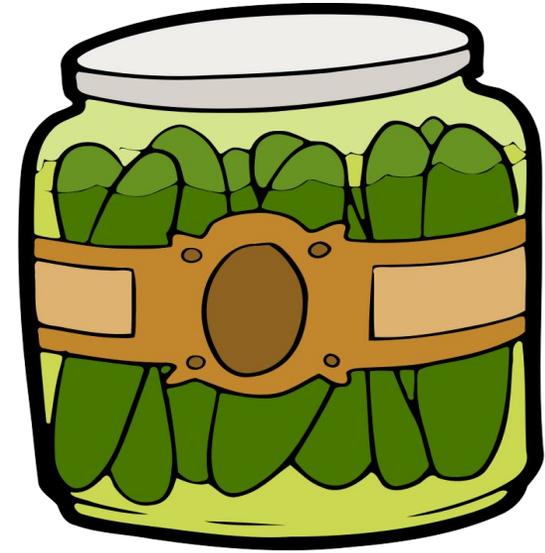
Idea: Given **anchor policy**  $\tau$  from human imitation learning, when optimizing policy  $\pi$ , optimize the regularized utility:

$$u(\pi) = EV(\pi) - \lambda D_{KL}(\pi || \tau)$$

$\lambda$  is the **anchor strength**:

- $\lambda = 0$ : self-play from scratch
- $\lambda = \text{infinity}$ : human behavioral cloning
- Choosing  $\lambda$  in-between gains benefits of both.

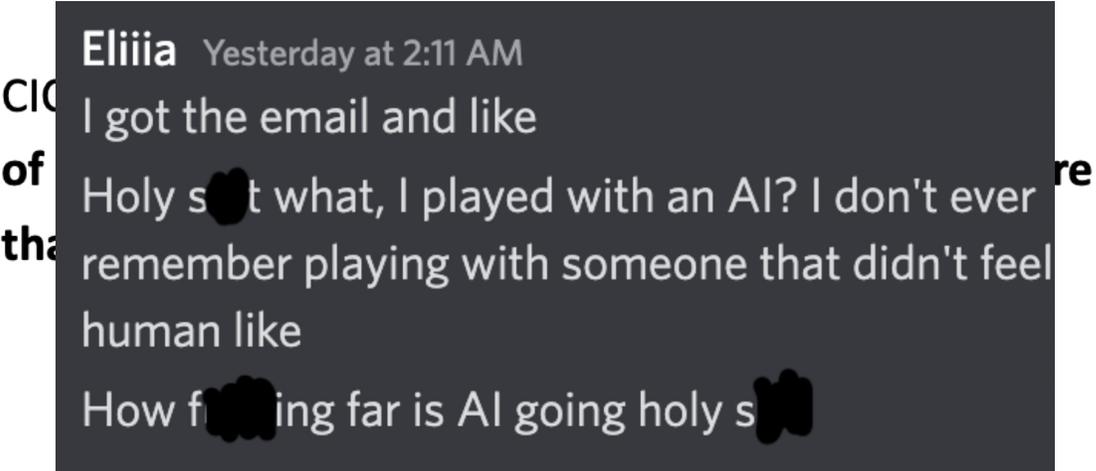
**Results:** Significant policy improvement while maintaining high human compatibility.



# CICERO Plays with Humans

We entered CICERO anonymously in an **online Diplomacy league**

CICERO **was not detected as an AI agent** after **40 games** with 82 unique players \*, sending and receiving an average of **292 messages per game**.



\* One player mentioned in post-game Discord that they were suspicious that our account was a bot after a game, but didn't follow up about it

Rank	Avg Score	# Games
1	35.0%	11
<b>2</b>	<b>25.8%</b>	<b>40</b>
3	24.5%	6
4	22.7%	8
5	21.0%	5
	...	
19	3.0%	6
20	2.6%	7

# FAIR Diplomacy Team



Anton Bakhtin



Noam Brown



Emily Dinan



Colin Flaherty



Jonathan Gray



Hengyuan Hu



Athul Paul Jacob



Adam Lerer



Mike Lewis



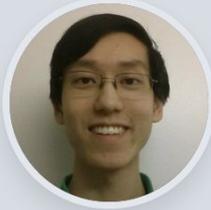
Alexander Miller



Adithya Renduchintala



Weiyan Shi



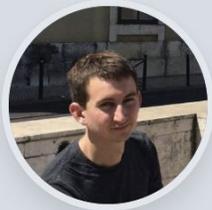
David Wu



Hugh Zhang



Gabriele Farina



Daniel Fried



Andrew Goff



Mojtaba Komeili



Minae Kwon



Karthik Konath



Sasha Mitts



Stephen Roller



Dirk Rowe



Joe Spisak



Alex Wei



Markus Zijlstra

# Recap

- Sound self play will compute a minimax equilibrium in any two-player zero-sum given sufficient memory and compute
- Outside two-player zero-sum games, self play isn't enough
- Self-play with KL regularization toward a human imitation policy (i.e., piKL) works well in general-sum games!
- See our papers for details:

- Mastering the Game of No-Press Diplomacy via Human-Regularized Reinforcement Learning and Planning. Bakhtin et al. ICLR 2023.
- Human-Level Performance in the Game of Diplomacy by Combining Language Models with Strategic Reasoning. FAIR et al. Science 2023.

- Code and models (along with those of our work in full-press):

Diplomacy with dialogue) available at:

[https://github.com/facebookresearch/diplomacy\\_cicero](https://github.com/facebookresearch/diplomacy_cicero)

