

# zkEVM design, optimization and applications

Guest Lecturer: Ye Zhang



## Zero Knowledge Proofs

Instructors: Dan Boneh, Shafi Goldwasser, Dawn Song, Justin Thaler, Yupeng Zhang



# What is Scroll?

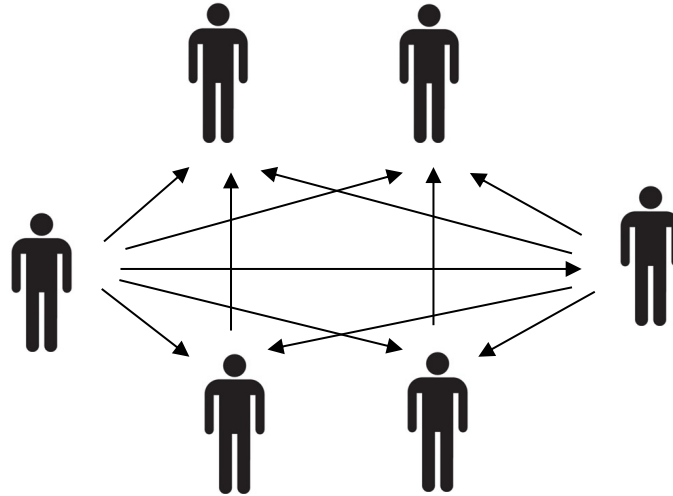
**A scaling solution for Ethereum**

# What is Scroll?

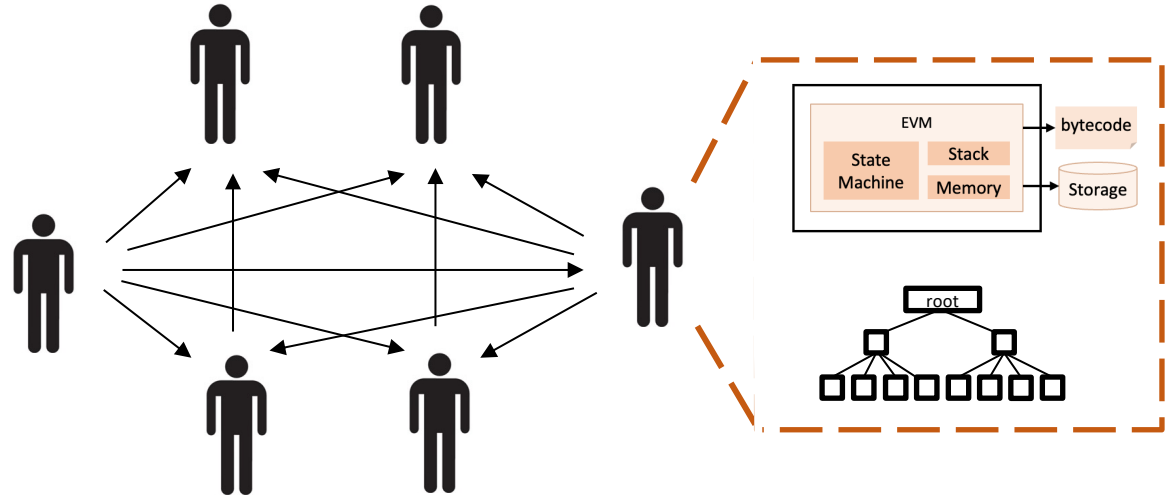
An **EVM-equivalent** zk-Rollup

- Background & motivation
- Build a zkEVM from scratch
- Interesting research problems
- Other applications using zkEVM

# The diagram of Layer 1 blockchain



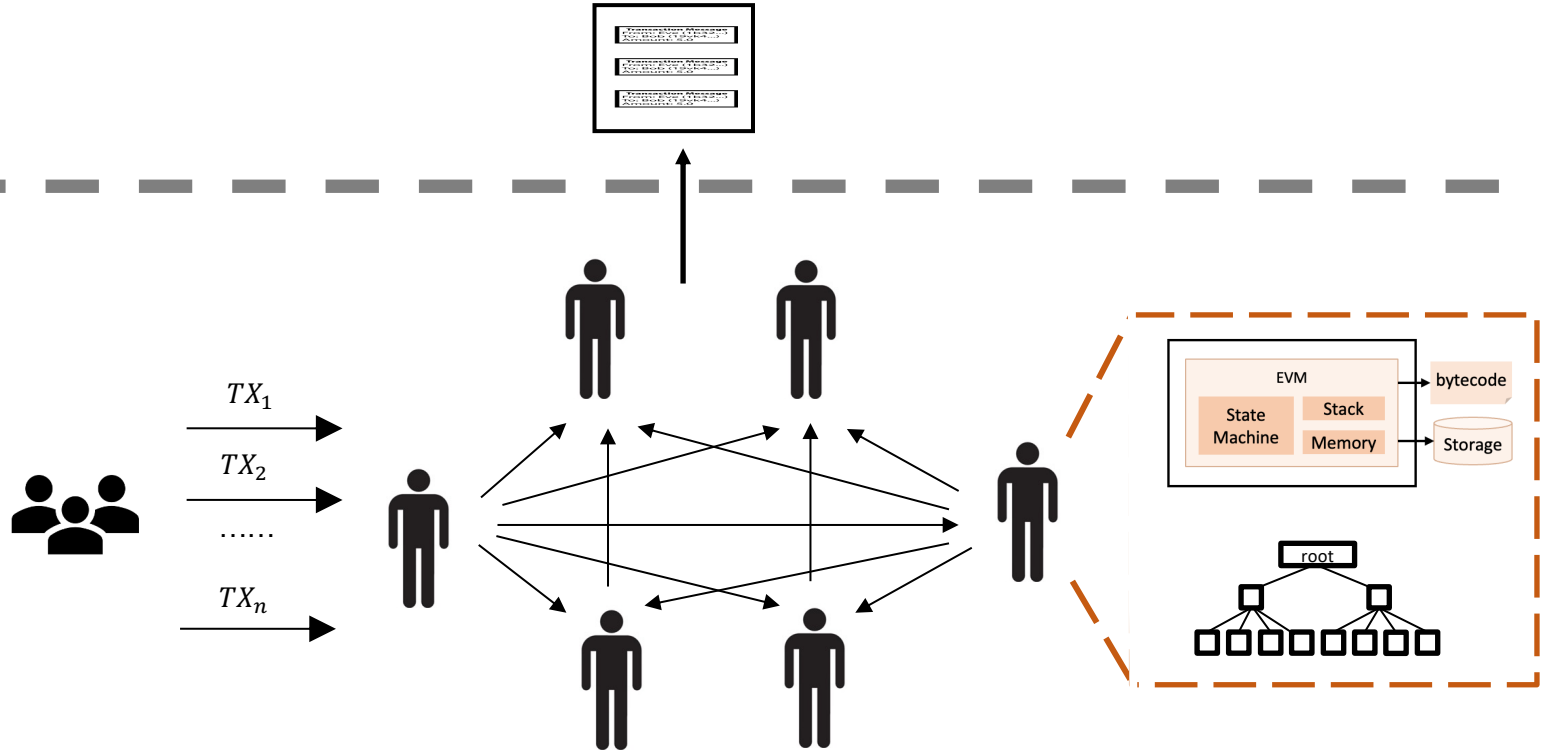
# The diagram of Layer 1 blockchain



# The diagram of Layer 1 blockchain



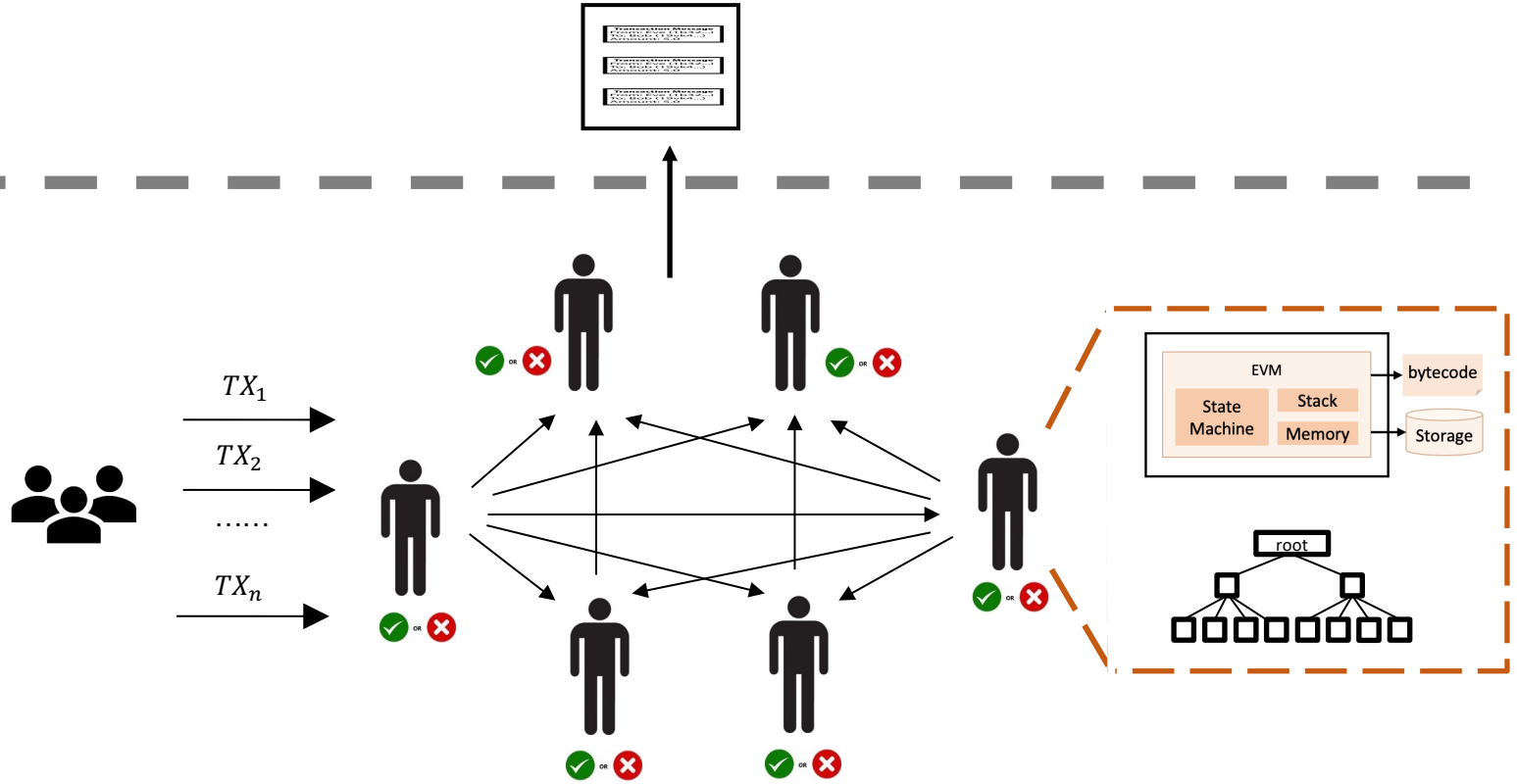
Layer 1



# The diagram of Layer 1 blockchain

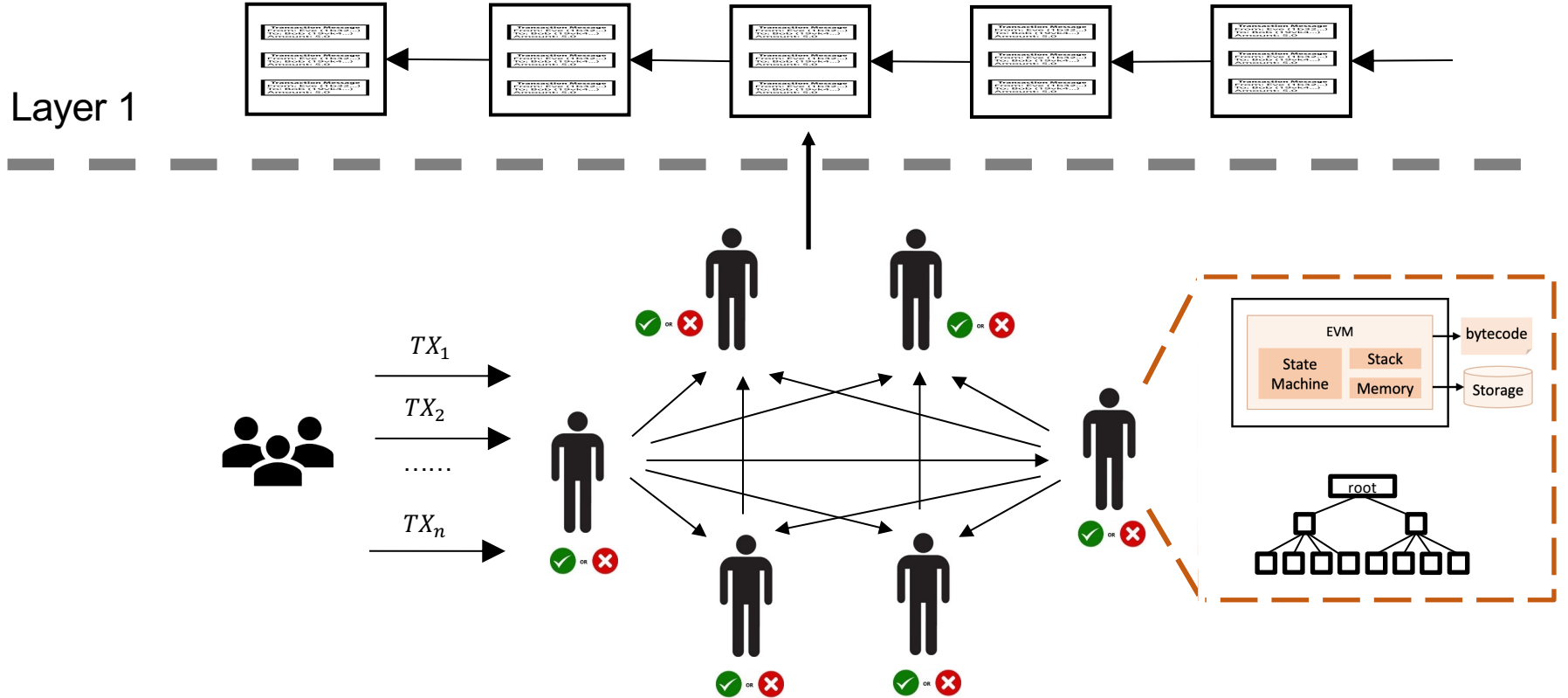


Layer 1

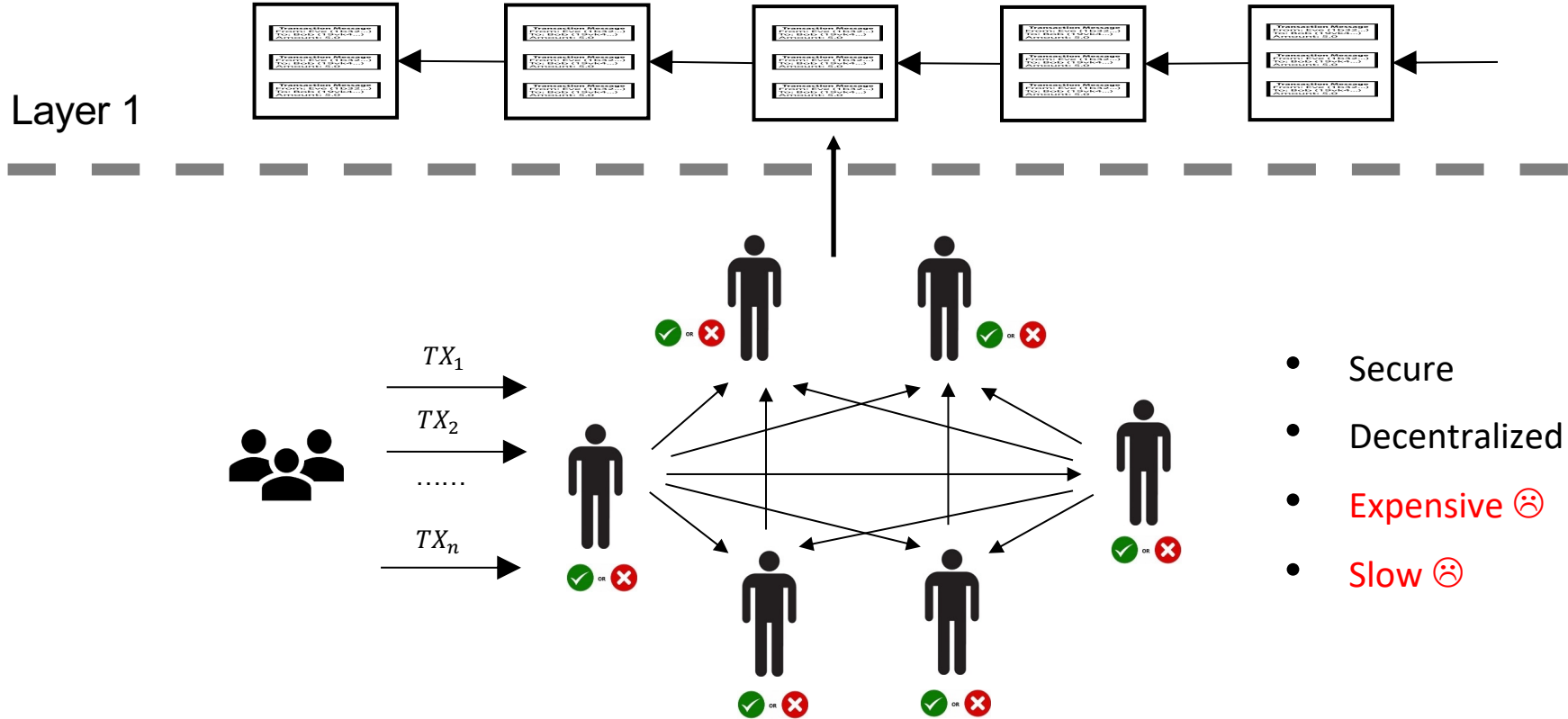




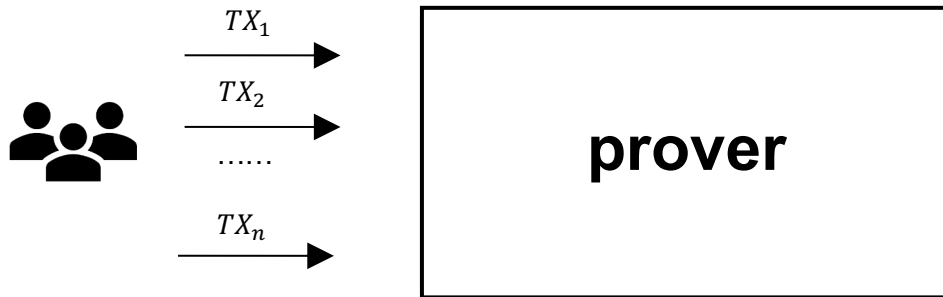
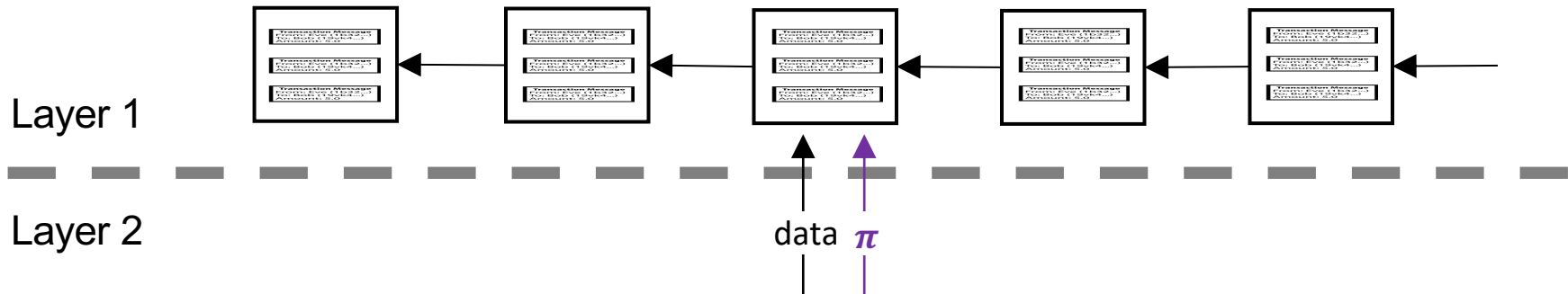
# The diagram of Layer 1 blockchain



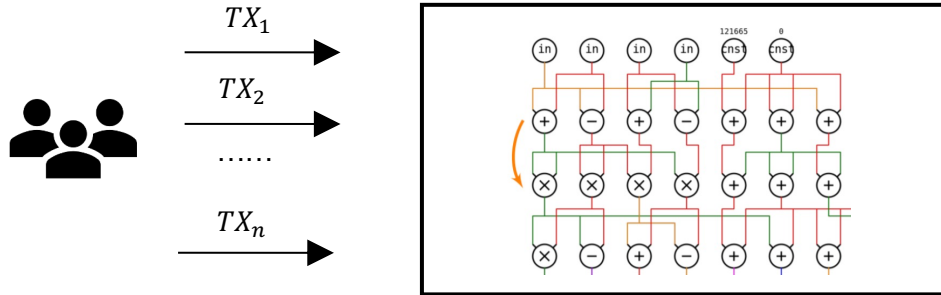
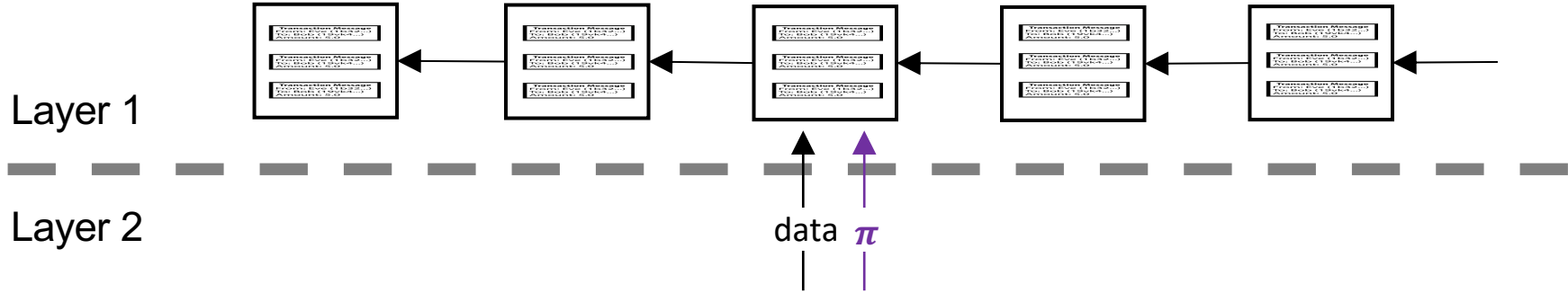
# The diagram of Layer 1 blockchain



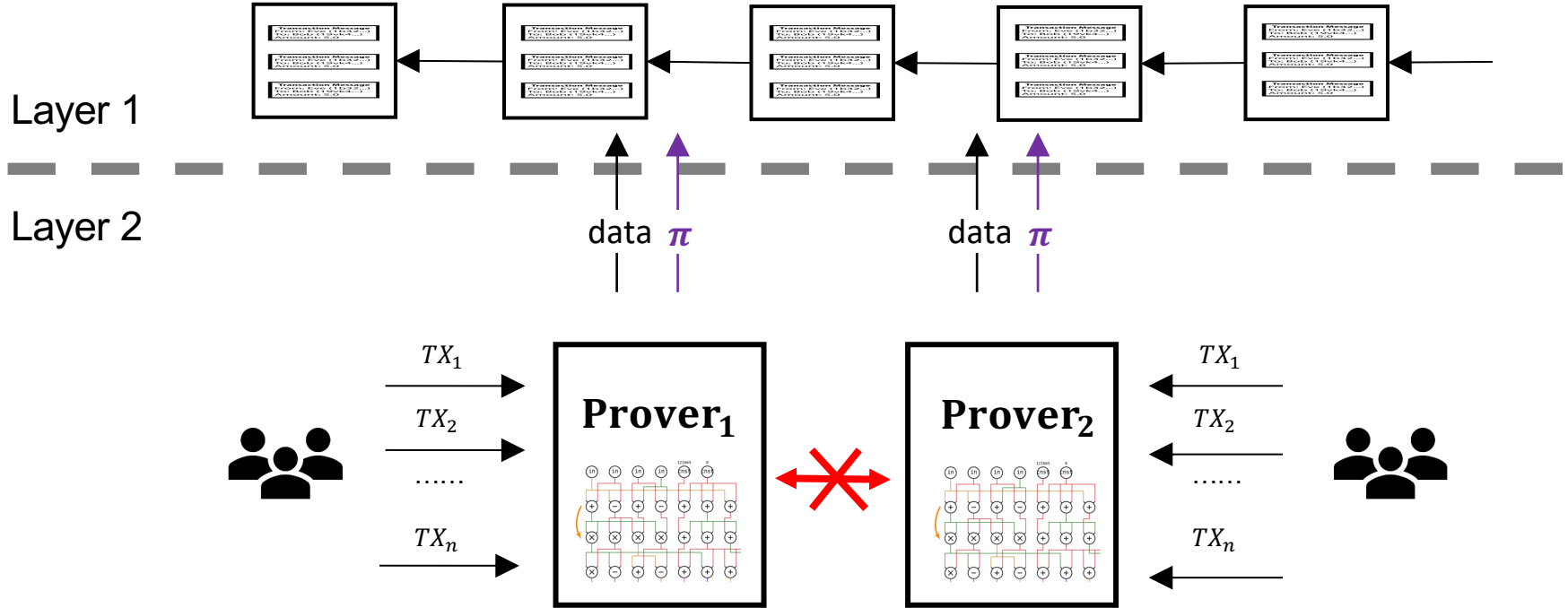
- Secure
- Decentralized
- Expensive 😞
- Slow 😞



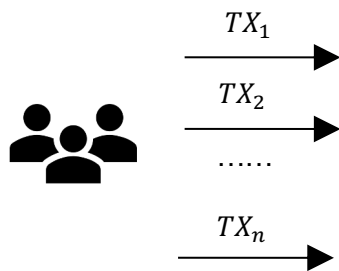
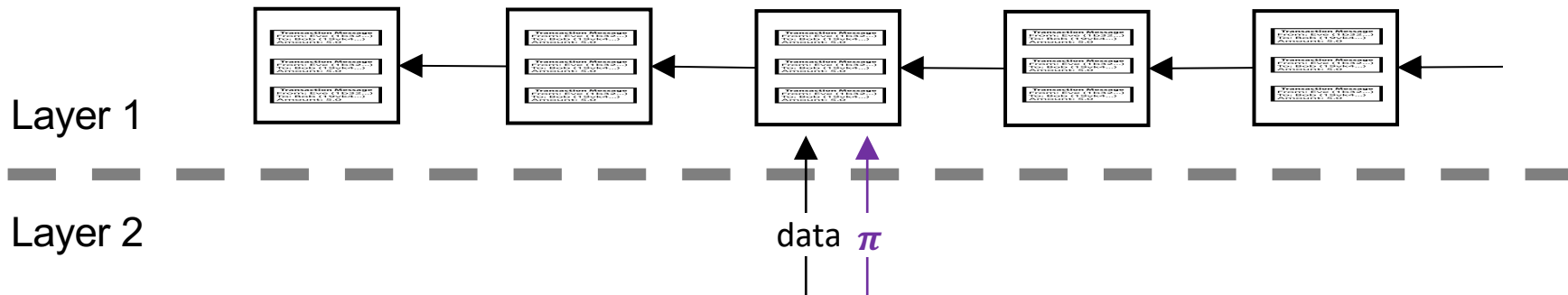
# However, ...



# However, ...

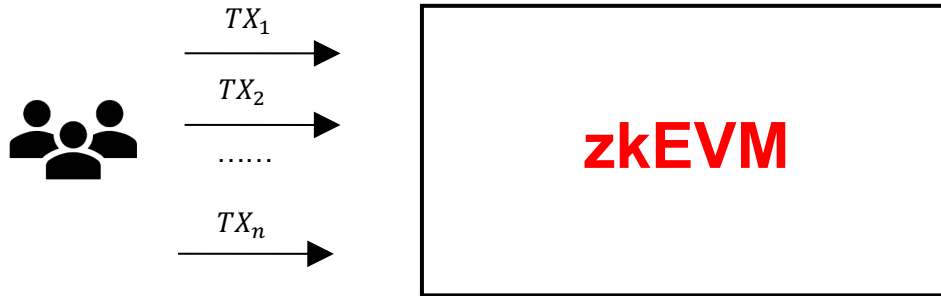
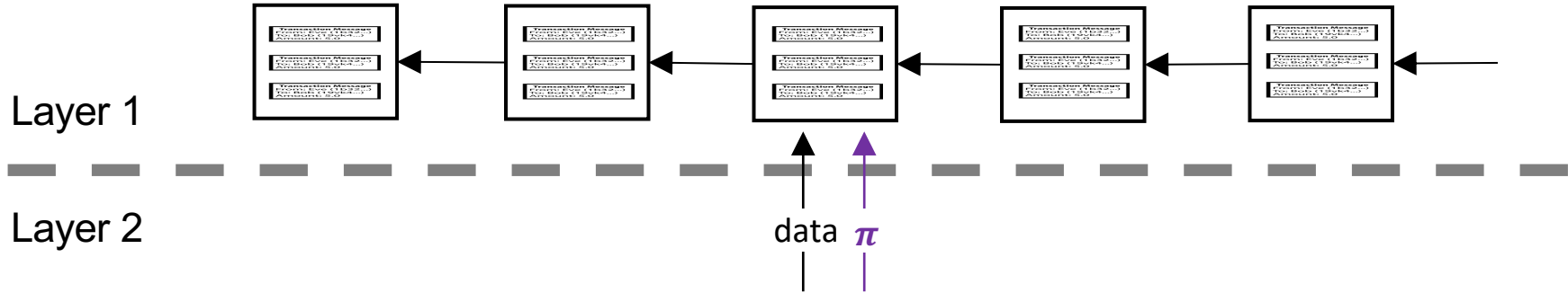


# Scroll: a native zkEVM solution



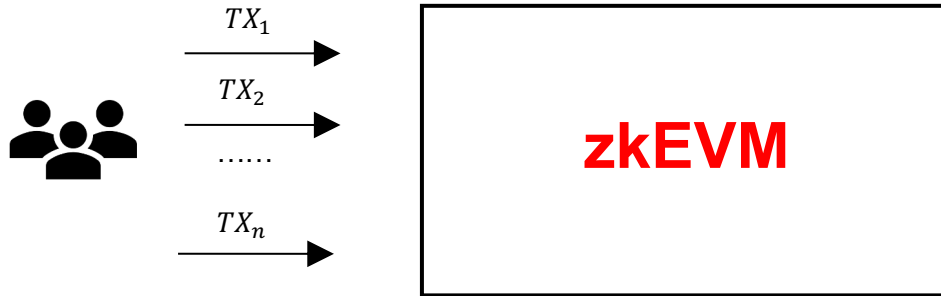
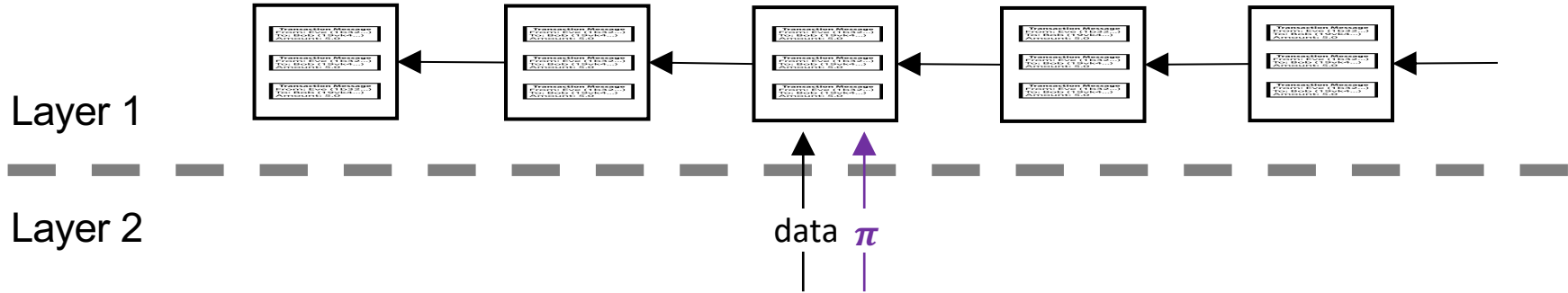
- Developer friendly
- Composability

# Scroll: a native zkEVM solution



- Developer friendly
- Composability
- Hard to build 😞
- Large proving overhead 😞

# Scroll: a native zkEVM solution



- Polynomial commitment
- Lookup + Custom gate
- Hardware acceleration
- Recursive proof



- **Language level**  
Transpile an EVM-friendly language (Solidity or Yul) to a SNARK-friendly VM which differs from the EVM. This is the approach of Matter Labs and Starkware.
- **Bytecode level**  
Interpret EVM bytecode directly, though potentially producing different state roots than the EVM, e.g. if certain implementation-level data structures are replaced with SNARK-friendly alternatives. This is the approach taken by Scroll, Hermez, and Consensys.
- **Consensus level**  
Target full equivalence with EVM as used by Ethereum L1 consensus. That is, it proves validity of L1 Ethereum state roots. This is part of the "zk-SNARK everything" roadmap for Ethereum.

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Transpile an EVM-friendly language (Solidity or Yul) to a SNARK-friendly VM which differs from the EVM. This is the approach of Matter Labs and Starkware.

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Interpret EVM bytecode directly, though potentially producing different state roots than the EVM, e.g. if certain implementation-level data structures are replaced with SNARK-friendly alternatives. This is the approach taken by Scroll, Hermez, and Consensys.

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Target full equivalence with EVM as used by Ethereum L1 consensus. That is, it proves validity of L1 Ethereum state roots. This is part of the "zk-SNARK everything" roadmap for Ethereum.

- Background & motivation
- Build a zkEVM from scratch
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- Other applications using zkEVM

# The workflow of zero-knowledge proof



## Program

```
def hcf(x, y):  
    if x > y:  
        smaller = y  
    else:  
        smaller = x  
  
    for i in range(1, smaller + 1):  
        if (x % i == 0) and (y % i == 0):  
            hcf = i  
  
    return hcf
```

## Constraints

```
x * x == var1  
var1 * x == y  
(y+x) * 1 == var2  
(var2+5) * 1 == out
```

## Proof



R1CS  
Plonkish  
AIR



Polynomial IOP  
+  
PCS

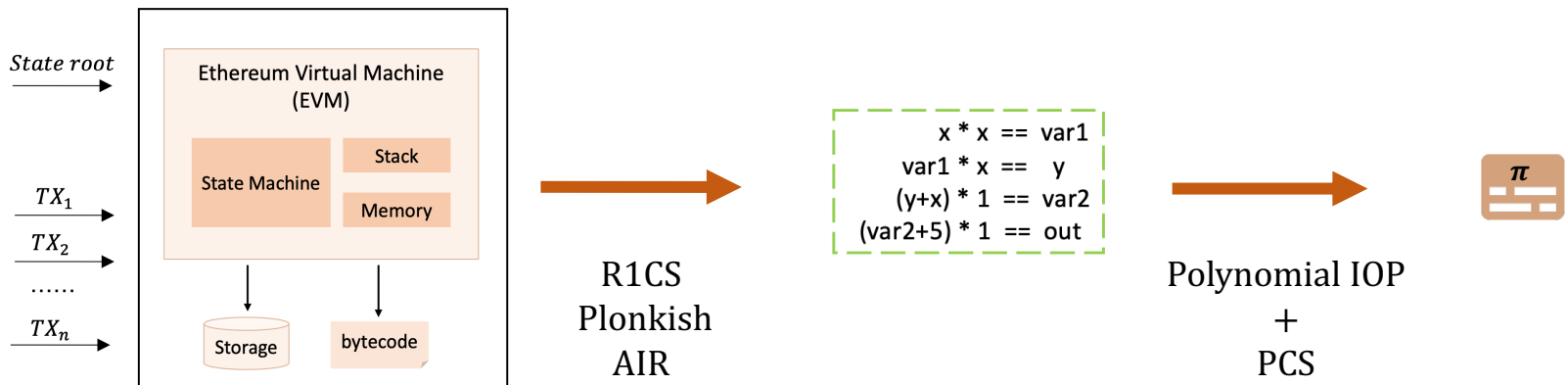
# The workflow of zero-knowledge proof



## Program

## Constraints

## Proof



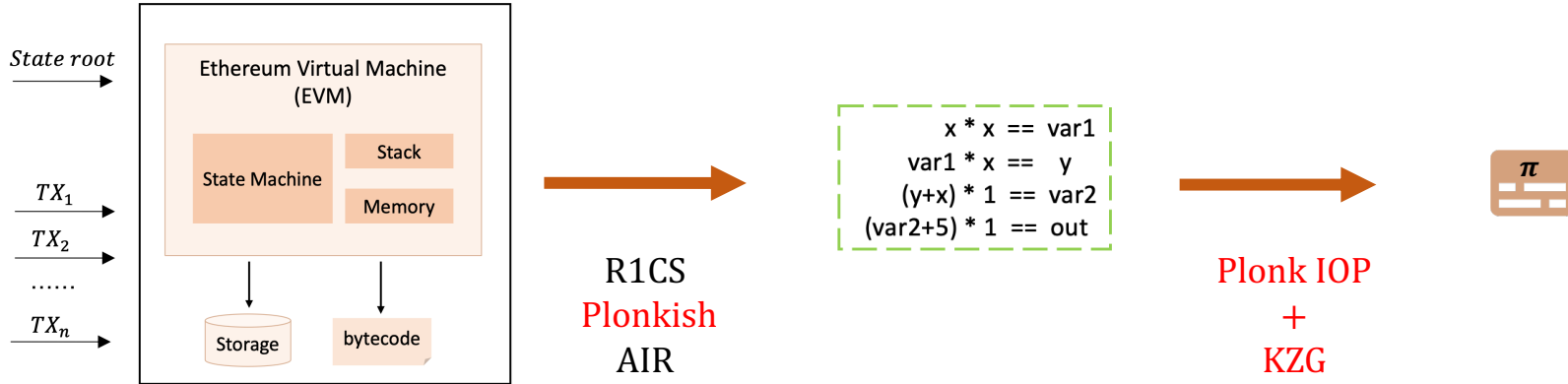
# The workflow of zero-knowledge proof



## Program

## Constraints

## Proof



# Let's start with R1CS



|       |       |       |       |       |       |           |       |
|-------|-------|-------|-------|-------|-------|-----------|-------|
| $w_1$ | $w_2$ | $w_3$ | $w_4$ | $w_5$ | ..... | $w_{n-1}$ | $w_n$ |
|       |       |       |       |       |       |           |       |

# Let's start with R1CS



| $w_1$ | $w_2$ | $w_3$ | $w_4$ | $w_5$ | ..... | $w_{n-1}$ | $w_n$ |
|-------|-------|-------|-------|-------|-------|-----------|-------|
|       |       |       |       |       |       |           |       |

$$(a_1w_1 + \dots + a_nw_n) * (b_1w_1 + \dots + b_nw_n) == (c_1w_1 + \dots + c_nw_n)$$



# Let's start with R1CS



| $w_1$ | $w_2$ | $w_3$ | $w_4$ | $w_5$ | ..... | $w_{n-1}$ | $w_n$ |
|-------|-------|-------|-------|-------|-------|-----------|-------|
|       |       |       |       |       |       |           |       |

$$(a_1 w_1 + \dots + a_n w_n) * (b_1 w_1 + \dots + b_n w_n) == (c_1 w_1 + \dots + c_n w_n)$$

$$(2w_1 + 1) * (3w_1 + 4w_2) == (w_{n-2} + 2)$$

$$(w_3 + 2) * (w_4) == (w_n + 1)$$

... ..

... ..

# Let's start with R1CS



|           |           |           |        |        |       |           |        |
|-----------|-----------|-----------|--------|--------|-------|-----------|--------|
| $w_1$     | $w_2$     | $w_3$     | $w_4$  | $w_5$  | ..... | $w_{n-1}$ | $w_n$  |
| $input_0$ | $input_1$ | $input_2$ | $va_1$ | $vb_1$ | ..... | $vc_1$    | $vd_1$ |

$$(a_1w_1 + \dots + a_nw_n) * (b_1w_1 + \dots + b_nw_n) == (c_1w_1 + \dots + c_nw_n)$$

$$(2w_1 + 1) * (3w_1 + 4w_2) == (w_{n-2} + 2)$$

$$(w_3 + 2) * (w_4) == (w_n + 1)$$

... ..

... ..

I know a vector  $\{input, va, vb, vc, \dots\}$  that satisfies all those constraints

# Plonkish Arithmetization



| $a_0$ | $a_1$ | $a_2$ | $a_3$ | $a_4$ | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |       |       |

**witness**                      **Table 1**                      **Table 2**

# Plonkish Arithmetization



| $a_0$                    | $a_1$                    | $a_2$                    | $a_3$ | $a_4$                 | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|--------------------------|--------------------------|--------------------------|-------|-----------------------|-------|-------|-------|-------|-------|
| <i>input<sub>0</sub></i> | <i>input<sub>1</sub></i> | <i>input<sub>2</sub></i> |       | <i>output</i>         |       |       |       |       |       |
| <i>va<sub>1</sub></i>    | <i>vb<sub>1</sub></i>    | <i>vc<sub>1</sub></i>    |       | <i>vd<sub>1</sub></i> |       |       |       |       |       |
| <i>va<sub>2</sub></i>    | <i>vb<sub>2</sub></i>    | <i>vc<sub>2</sub></i>    |       | <i>vd<sub>2</sub></i> |       |       |       |       |       |
| <i>va<sub>3</sub></i>    | <i>vb<sub>3</sub></i>    | <i>vc<sub>3</sub></i>    |       | <i>vd<sub>3</sub></i> |       |       |       |       |       |
| <i>va<sub>4</sub></i>    | <i>vb<sub>4</sub></i>    | <i>vc<sub>4</sub></i>    | ..... | <i>vd<sub>4</sub></i> |       |       |       |       |       |
| <i>va<sub>5</sub></i>    | <i>vb<sub>5</sub></i>    | <i>vc<sub>5</sub></i>    |       | <i>vd<sub>5</sub></i> |       |       |       |       |       |
| <i>va<sub>6</sub></i>    | <i>vb<sub>6</sub></i>    | <i>vc<sub>6</sub></i>    |       | <i>vd<sub>6</sub></i> |       |       |       |       |       |
| <i>va<sub>7</sub></i>    | <i>vb<sub>7</sub></i>    | <i>vc<sub>7</sub></i>    |       | <i>vd<sub>7</sub></i> |       |       |       |       |       |
| <i>va<sub>6</sub></i>    | <i>vb<sub>6</sub></i>    | <i>vc<sub>6</sub></i>    |       | <i>vd<sub>6</sub></i> |       |       |       |       |       |
| <i>va<sub>7</sub></i>    | <i>vb<sub>7</sub></i>    | <i>vc<sub>7</sub></i>    |       | <i>vd<sub>7</sub></i> |       |       |       |       |       |

**witness**                      **Table 1**                      **Table 2**

| $a_0$     | $a_1$     | $a_2$     | $a_3$ | $a_4$    | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|-----------|-----------|-----------|-------|----------|-------|-------|-------|-------|-------|
| $input_0$ | $input_1$ | $input_2$ |       | $output$ |       |       |       |       |       |
| $va_1$    | $vb_1$    | $vc_1$    |       | $vd_1$   |       |       |       |       |       |
| $va_2$    | $vb_2$    | $vc_2$    |       | $vd_2$   |       |       |       |       |       |
| $va_3$    | $vb_3$    | $vc_3$    |       | $vd_3$   |       |       |       |       |       |
| $va_4$    | $vb_4$    | $vc_4$    | ..... | $vd_4$   |       |       |       |       |       |
| $va_5$    | $vb_5$    | $vc_5$    |       | $vd_5$   |       |       |       |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   |       |       |       |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   |       |       |       |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   |       |       |       |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   |       |       |       |       |       |

witness

Table 1

Table 2

$$va_3 * vb_3 * vc_3 - vb_4 = 0$$

| $a_0$                    | $a_1$                    | $a_2$                    | $a_3$ | $a_4$         | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|--------------------------|--------------------------|--------------------------|-------|---------------|-------|-------|-------|-------|-------|
| <i>input<sub>0</sub></i> | <i>input<sub>1</sub></i> | <i>input<sub>2</sub></i> |       | <i>output</i> |       |       |       |       |       |
| $va_1$                   | $vb_1$                   | $vc_1$                   |       | $vd_1$        |       |       |       |       |       |
| $va_2$                   | $vb_2$                   | $vc_2$                   |       | $vd_2$        |       |       |       |       |       |
| $va_3$                   | $vb_3$                   | $vc_3$                   |       | $vd_3$        |       |       |       |       |       |
| $va_4$                   | $vb_4$                   | $vc_4$                   | ..... | $vd_4$        |       |       |       |       |       |
| $va_5$                   | $vb_5$                   | $vc_5$                   |       | $vd_5$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |

witness
Table 1
Table 2

$$va_3 * vb_3 * vc_3 - vb_4 = 0$$

- High degree
- More customized

| $a_0$                    | $a_1$                    | $a_2$                    | $a_3$ | $a_4$         | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|--------------------------|--------------------------|--------------------------|-------|---------------|-------|-------|-------|-------|-------|
| <i>input<sub>0</sub></i> | <i>input<sub>1</sub></i> | <i>input<sub>2</sub></i> |       | <i>output</i> |       |       |       |       |       |
| $va_1$                   | $vb_1$                   | $vc_1$                   |       | $vd_1$        |       |       |       |       |       |
| $va_2$                   | $vb_2$                   | $vc_2$                   |       | $vd_2$        |       |       |       |       |       |
| $va_3$                   | $vb_3$                   | $vc_3$                   |       | $vd_3$        |       |       |       |       |       |
| $va_4$                   | $vb_4$                   | $vc_4$                   | ..... | $vd_4$        |       |       |       |       |       |
| $va_5$                   | $vb_5$                   | $vc_5$                   |       | $vd_5$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |

witness
Table 1
Table 2

$$vb_1 * vc_1 + vc_2 - vc_3 = 0$$

- High degree
- More customized

| $a_0$                    | $a_1$                    | $a_2$                    | $a_3$ | $a_4$         | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|--------------------------|--------------------------|--------------------------|-------|---------------|-------|-------|-------|-------|-------|
| <i>input<sub>0</sub></i> | <i>input<sub>1</sub></i> | <i>input<sub>2</sub></i> |       | <i>output</i> |       |       |       |       |       |
| $va_1$                   | $vb_1$                   | $vc_1$                   |       | $vd_1$        |       |       |       |       |       |
| $va_2$                   | $vb_2$                   | $vc_2$                   |       | $vd_2$        |       |       |       |       |       |
| $va_3$                   | $vb_3$                   | $vc_3$                   |       | $vd_3$        |       |       |       |       |       |
| $va_4$                   | $vb_4$                   | $vc_4$                   | ..... | $vd_4$        |       |       |       |       |       |
| $va_5$                   | $vb_5$                   | $vc_5$                   |       | $vd_5$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |

witness
Table 1
Table 2

$$vc_1 + va_2 * vb_4 - vc_4 = 0$$

- High degree
- More customized



| $a_0$                    | $a_1$                    | $a_2$                    | $a_3$ | $a_4$         | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|--------------------------|--------------------------|--------------------------|-------|---------------|-------|-------|-------|-------|-------|
| <i>input<sub>0</sub></i> | <i>input<sub>1</sub></i> | <i>input<sub>2</sub></i> |       | <i>output</i> |       |       |       |       |       |
| $va_1$                   | $vb_1$                   | $vc_1$                   |       | $vd_1$        |       |       |       |       |       |
| $va_2$                   | $vb_2$                   | $vc_2$                   |       | $vd_2$        |       |       |       |       |       |
| $va_3$                   | $vb_3$                   | $vc_3$                   |       | $vd_3$        |       |       |       |       |       |
| $va_4$                   | $vb_4$                   | $vc_4$                   | ..... | $vd_4$        |       |       |       |       |       |
| $va_5$                   | $vb_5$                   | $vc_5$                   |       | $vd_5$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |

Diagram illustrating the permutation of witness values. Red boxes highlight  $vb_4$ ,  $vc_6$ ,  $va_6$ , and  $vb_6$ . Red arrows show the mapping:  $vb_4 \rightarrow vc_6 \rightarrow vb_6 \rightarrow va_6$ . Blue brackets below the table group columns into "witness", "Table 1", and "Table 2".

$$vb_4 = vc_6 = vb_6 = va_6$$

# Plonkish Arithmetization – Lookup argument



| $a_0$     | $a_1$     | $a_2$     | $a_3$ | $a_4$    | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|-----------|-----------|-----------|-------|----------|-------|-------|-------|-------|-------|
| $input_0$ | $input_1$ | $input_2$ |       | $output$ |       |       |       |       |       |
| $va_1$    | $vb_1$    | $vc_1$    |       | $vd_1$   |       |       |       |       |       |
| $va_2$    | $vb_2$    | $vc_2$    |       | $vd_2$   |       |       |       |       |       |
| $va_3$    | $vb_3$    | $vc_3$    |       | $vd_3$   |       |       |       |       |       |
| $va_4$    | $vb_4$    | $vc_4$    | ..... | $vd_4$   |       |       |       |       |       |
| $va_5$    | $vb_5$    | $vc_5$    |       | $vd_5$   |       |       |       |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   |       |       |       |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_6$   |       |       |       |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   |       |       |       |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   |       |       |       |       |       |

witness
Table 1
Table 2

$$(va_7, vb_7, vc_7) \in (T_0, T_1, T_2)$$

| $a_0$     | $a_1$     | $a_2$     | $a_3$ | $a_4$    | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|-----------|-----------|-----------|-------|----------|-------|-------|-------|-------|-------|
| $input_0$ | $input_1$ | $input_2$ |       | $output$ | 0000  |       |       |       |       |
| $va_1$    | $vb_1$    | $vc_1$    |       | $vd_1$   | 0001  |       |       |       |       |
| $va_2$    | $vb_2$    | $vc_2$    |       | $vd_2$   | 0010  |       |       |       |       |
| $va_3$    | $vb_3$    | $vc_3$    |       | $vd_3$   | 0011  |       |       |       |       |
| $va_4$    | $vb_4$    | $vc_4$    | ..... | $vd_4$   | 0100  |       |       |       |       |
| $va_5$    | $vb_5$    | $vc_5$    |       | $vd_5$   | 0101  |       |       |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   | ..... |       |       |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_6$   | 1101  |       |       |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   | 1110  |       |       |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   | 1111  |       |       |       |       |

witness
Table 1
Table 2

$vc_7 \in [0, 15]$

# Plonkish Arithmetization – Lookup argument



| $a_0$     | $a_1$     | $a_2$     | $a_3$ | $a_4$    | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|-----------|-----------|-----------|-------|----------|-------|-------|-------|-------|-------|
| $input_0$ | $input_1$ | $input_2$ |       | $output$ | 0000  | 0000  | 0000  |       |       |
| $va_1$    | $vb_1$    | $vc_1$    |       | $vd_1$   | 0000  | 0001  | 0001  |       |       |
| $va_2$    | $vb_2$    | $vc_2$    |       | $vd_2$   | 0000  | 0010  | 0010  |       |       |
| $va_3$    | $vb_3$    | $vc_3$    |       | $vd_3$   | 0000  | 0011  | 0011  |       |       |
| $va_4$    | $vb_4$    | $vc_4$    | ..... | $vd_4$   | 0000  | 0100  | 0100  |       |       |
| $va_5$    | $vb_5$    | $vc_5$    |       | $vd_5$   | 0000  | 0101  | 0101  |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   | ..... | ..... | ..... |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       |          | 1111  | 1101  | 0010  |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   | 1111  | 1110  | 0001  |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   | 1111  | 1111  | 0000  |       |       |

$va_7$   $vb_7$   $vc_7$  ➔ Lookup

witness
Table 1
Table 2

$$vc_7 \in [0, 15]$$

$$va_7 \oplus vb_7 = vc_7$$

| $a_0$     | $a_1$     | $a_2$     | $a_3$ | $a_4$    | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|-----------|-----------|-----------|-------|----------|-------|-------|-------|-------|-------|
| $input_0$ | $input_1$ | $input_2$ |       | $output$ | 0000  | 0000  | 0000  |       |       |
| $va_1$    | $vb_1$    | $vc_1$    |       | $vd_1$   | 0000  | 0001  | 0001  |       |       |
| $va_2$    | $vb_2$    | $vc_2$    |       | $vd_2$   | 0000  | 0010  | 0010  |       |       |
| $va_3$    | $vb_3$    | $vc_3$    |       | $vd_3$   | 0000  | 0011  | 0011  |       |       |
| $va_4$    | $vb_4$    | $vc_4$    | ..... | $vd_4$   | 0000  | 0100  | 0100  |       |       |
| $va_5$    | $vb_5$    | $vc_5$    |       | $vd_5$   | 0000  | 0101  | 0101  |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   | ..... | ..... | ..... |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   | 1111  | 1101  | 0010  |       |       |
| $va_6$    | $vb_6$    | $vc_6$    |       | $vd_6$   | 1111  | 1110  | 0001  |       |       |
| $va_7$    | $vb_7$    | $vc_7$    |       | $vd_7$   | 1111  | 1111  | 0000  |       |       |

witness
Table 1
Table 2

$$vc_7 \in [0, 15]$$

$$va_7 \oplus vb_7 = vc_7$$

**RAM operation**



| $a_0$                    | $a_1$                    | $a_2$                    | $a_3$ | $a_4$         | $T_0$ | $T_1$ | $T_2$ | $T_3$ | $T_4$ |
|--------------------------|--------------------------|--------------------------|-------|---------------|-------|-------|-------|-------|-------|
| <i>input<sub>0</sub></i> | <i>input<sub>1</sub></i> | <i>input<sub>2</sub></i> |       | <i>output</i> |       |       |       |       |       |
| $va_1$                   | $vb_1$                   | $vc_1$                   |       | $vd_1$        |       |       |       |       |       |
| $va_2$                   | $vb_2$                   | $vc_2$                   |       | $vd_2$        |       |       |       |       |       |
| $va_3$                   | $vb_3$                   | $vc_3$                   |       | $vd_3$        |       |       |       |       |       |
| $va_4$                   | $vb_4$                   | $vc_4$                   | ..... | $vd_4$        |       |       |       |       |       |
| $va_5$                   | $vb_5$                   | $vc_5$                   |       | $vd_5$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |
| $va_6$                   | $vb_6$                   | $vc_6$                   |       | $vd_6$        |       |       |       |       |       |
| $va_7$                   | $vb_7$                   | $vc_7$                   |       | $vd_7$        |       |       |       |       |       |

witness

Table 1

Table 2

$$vb_1 * vc_1 + vc_2 - vc_3 = 0$$

$$va_3 * vb_3 * vc_3 - vb_4 = 0$$

$$vb_4 + vc_6 * vb_6 - va_6 = 0$$

.....

$$vb_4 = vc_6 = vb_6 = va_6$$

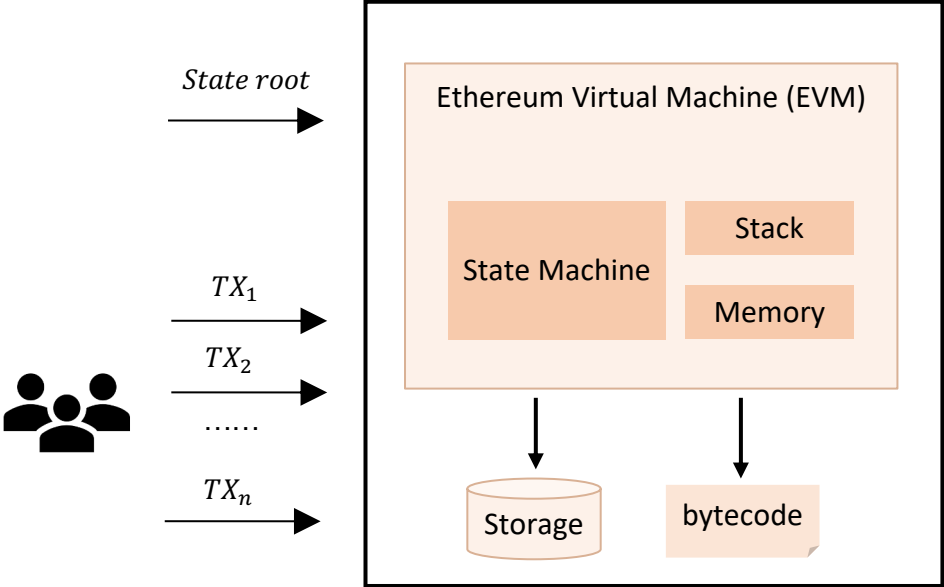
.....

$$(va_7, vb_7, vc_7) \in (T_0, T_1, T_2)$$

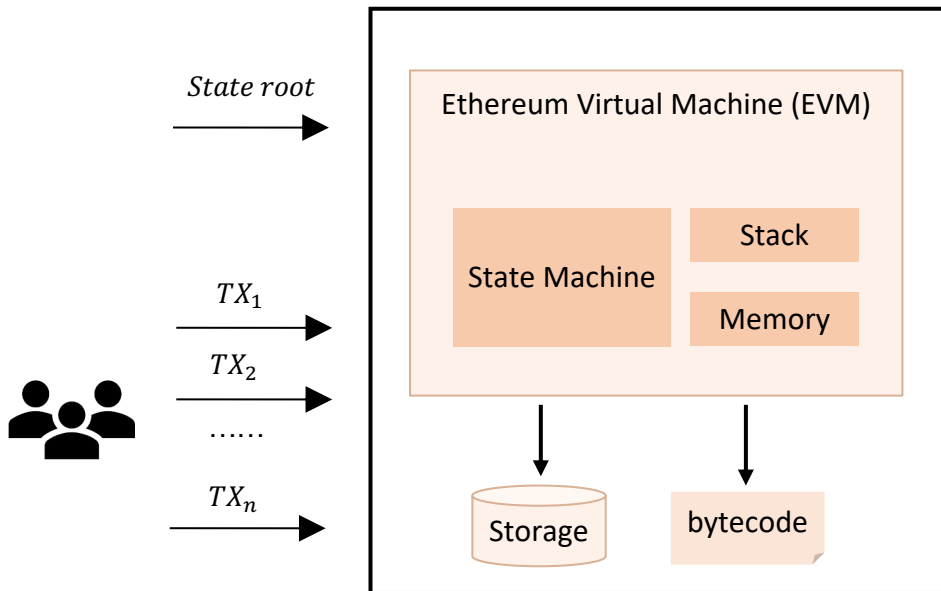
# How should we choose “front-end”?



## Computation



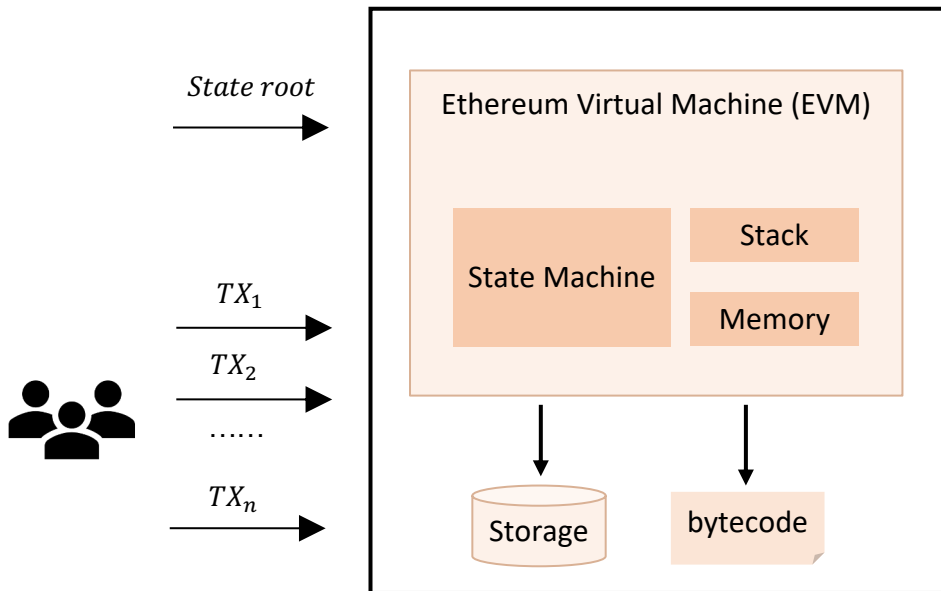
## Computation



- EVM word size is 256bit
  - Efficient range proof
- EVM has zk-unfriendly opcodes
  - Efficient way to connect circuits
- Read & Write consistency
  - Efficient mapping
- EVM has a dynamic execution trace
  - Efficient on/off selectors

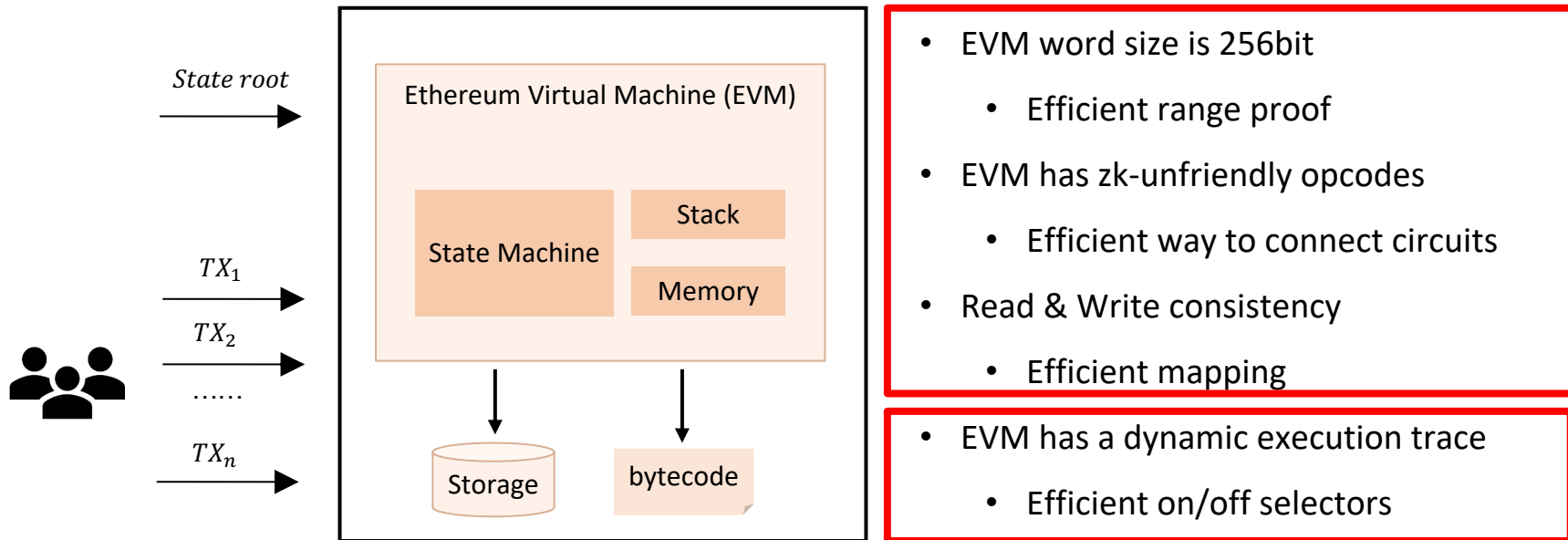


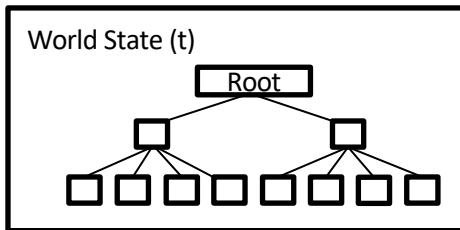
## Computation



- EVM word size is 256bit
  - Efficient range proof
- EVM has zk-unfriendly opcodes
  - Efficient way to connect circuits
- Read & Write consistency
  - Efficient mapping
- EVM has a dynamic execution trace
  - Efficient on/off selectors

## Computation

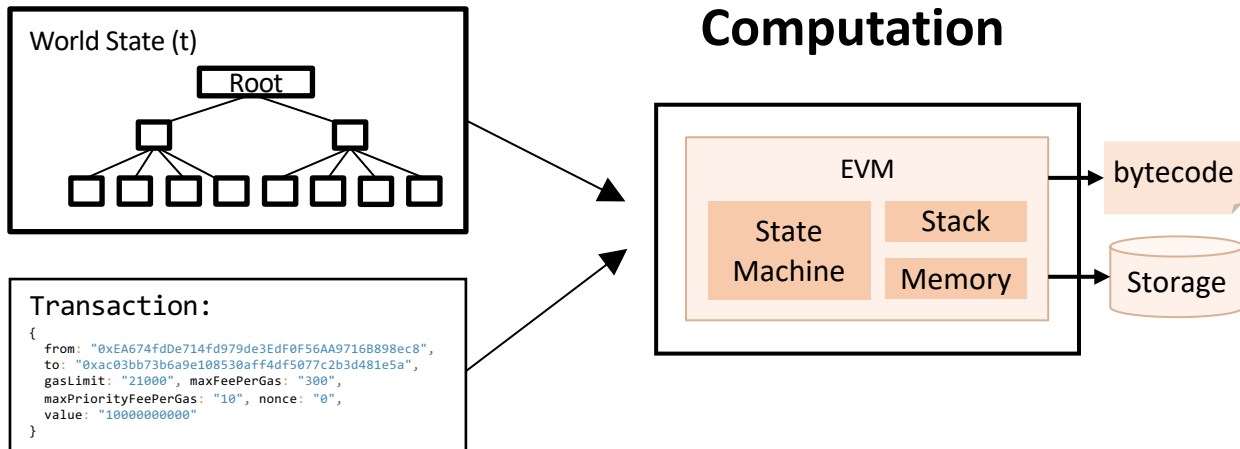




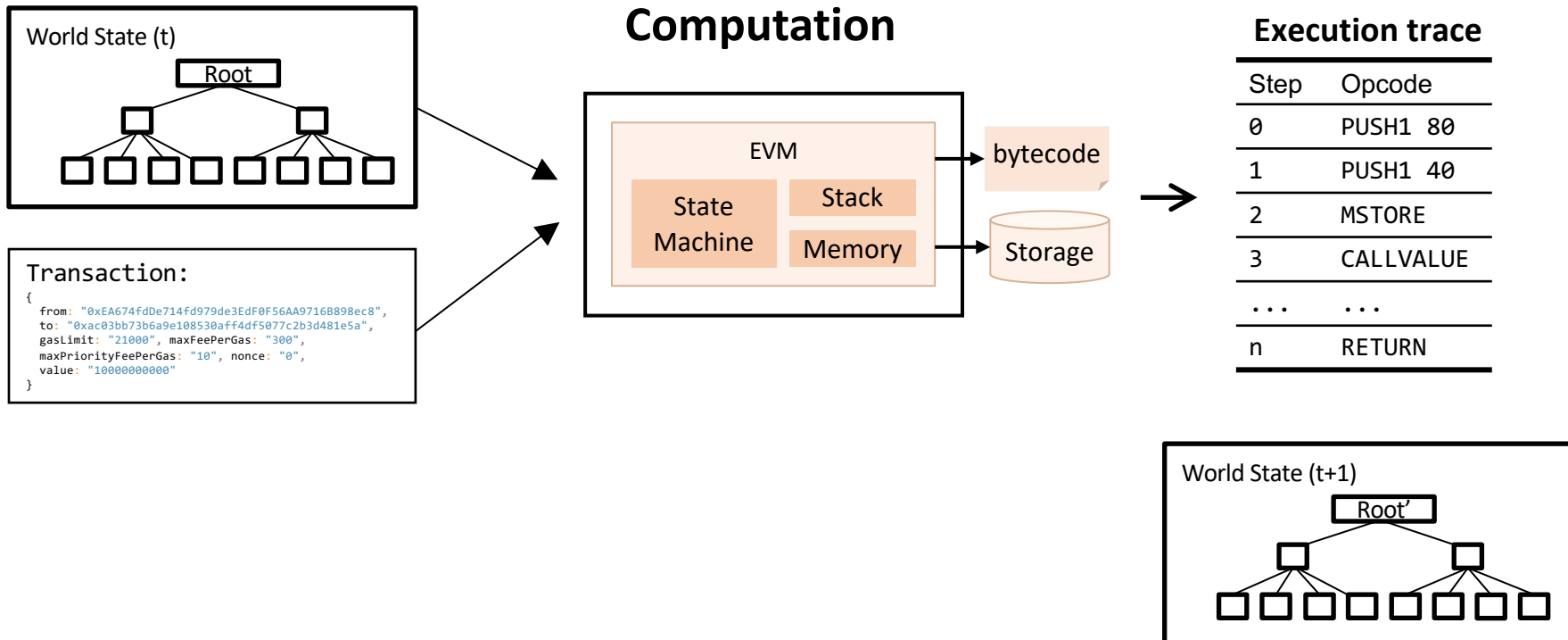
## Transaction:

```
{
  from: "0xEA674fdDe714fd979de3EdF0F56AA97168898ec8",
  to: "0xac03bb73b6a9e108530aff4df5077c2b3d481e5a",
  gasLimit: "21000", maxFeePerGas: "300",
  maxPriorityFeePerGas: "10", nonce: "0",
  value: "10000000000"
}
```

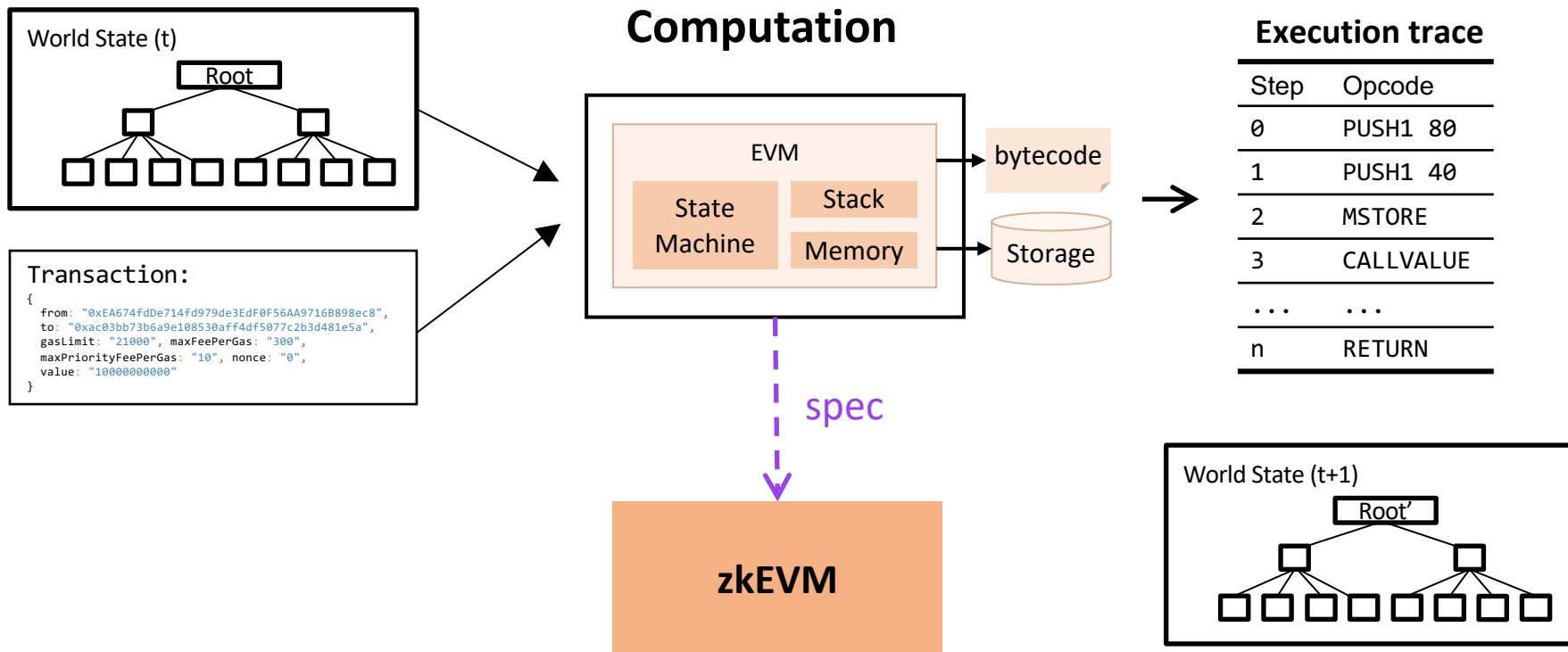
# What you need to prove



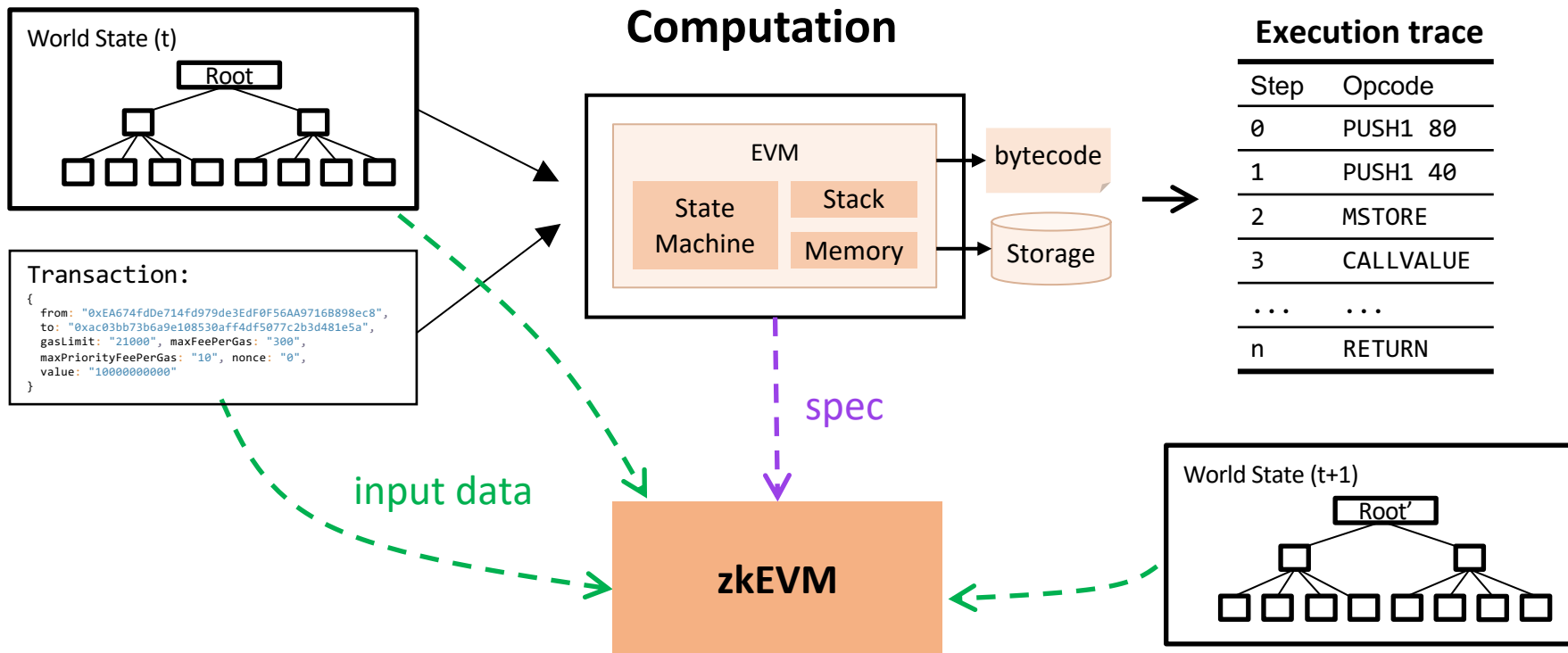
# What you need to prove



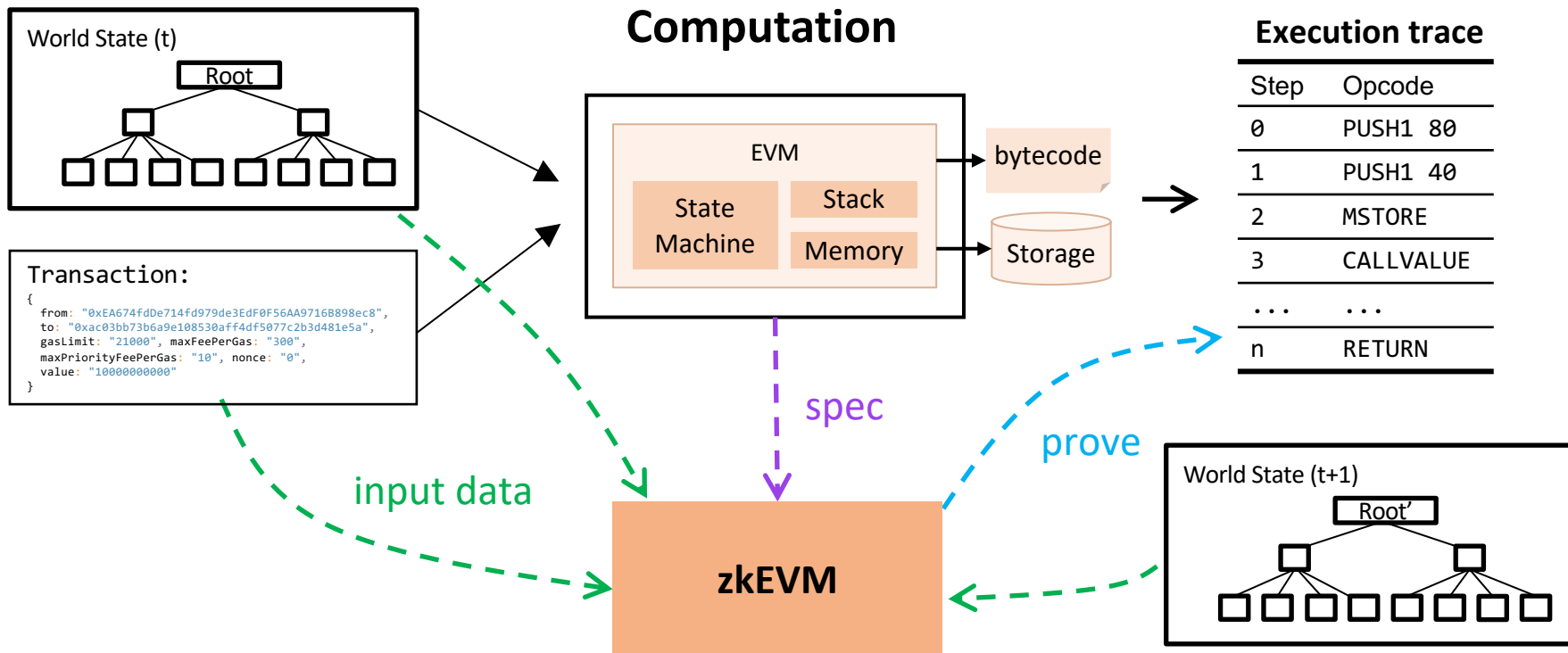
# What you need to prove



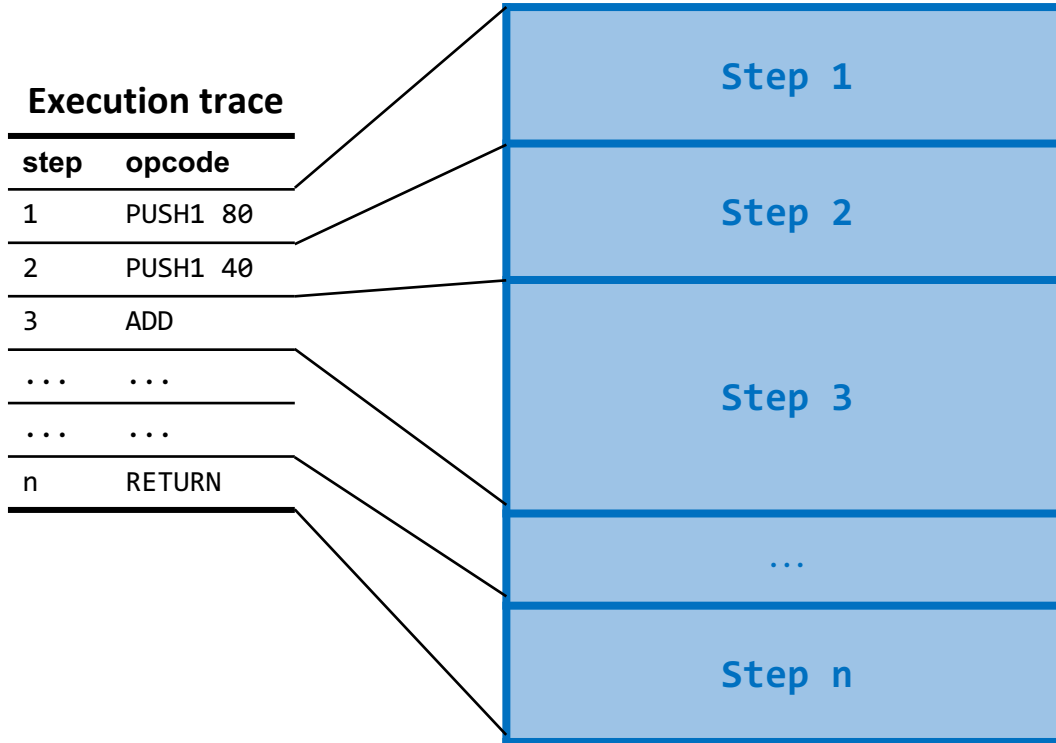
# What you need to prove

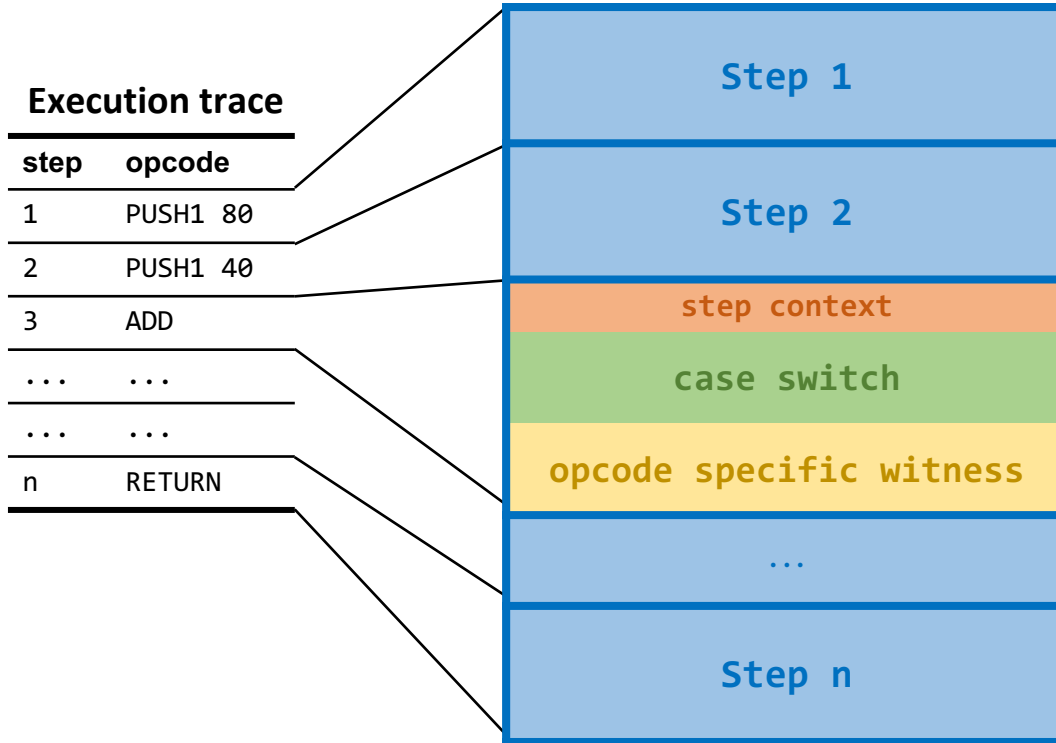


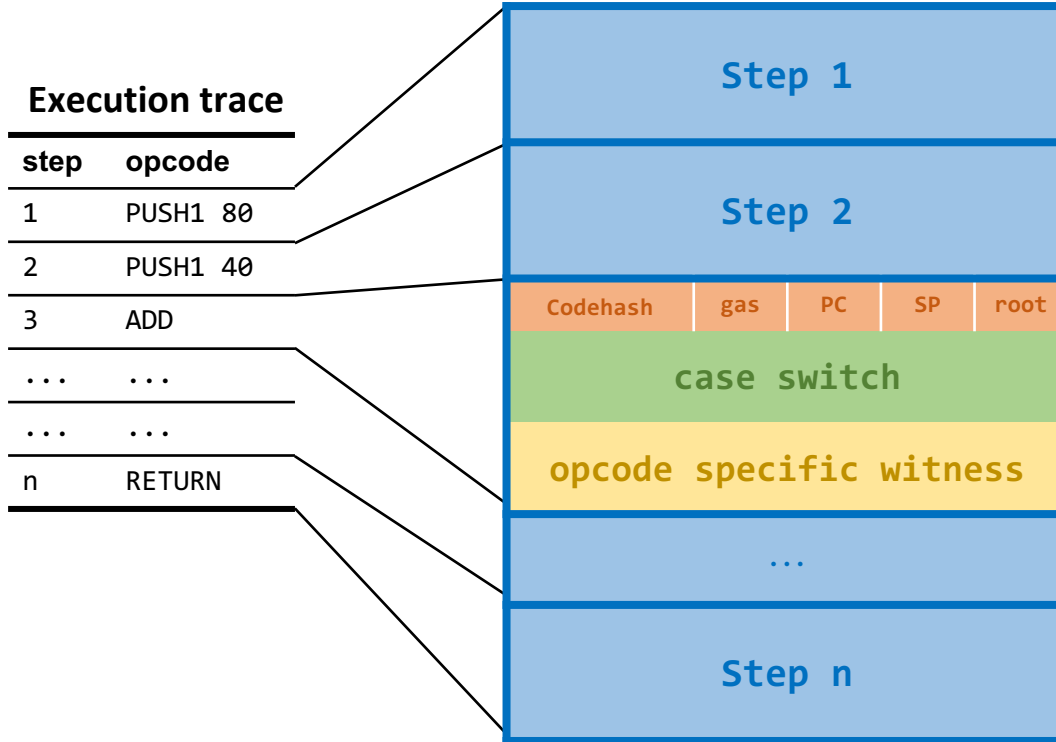
# What you need to prove





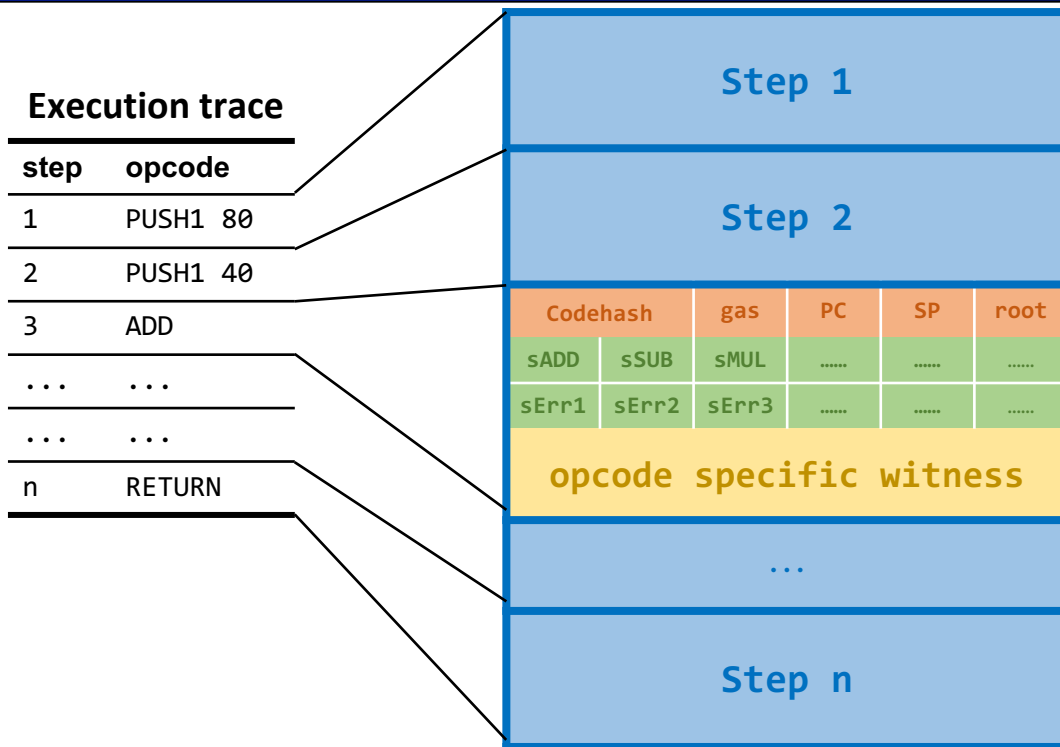




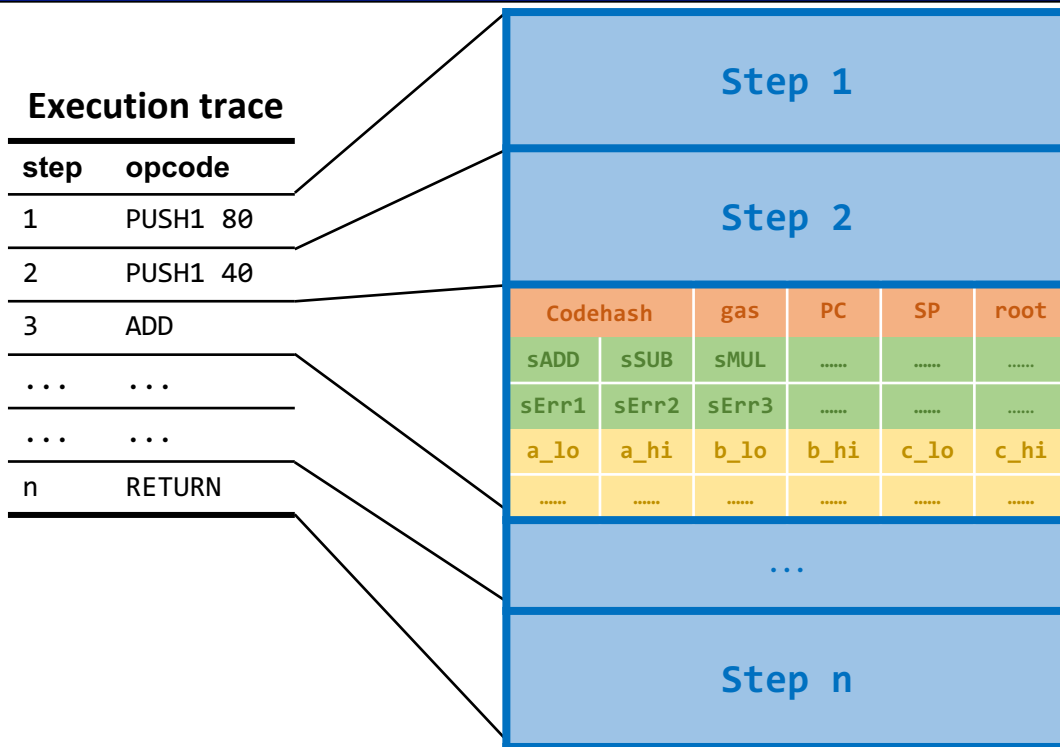


- **Step context**

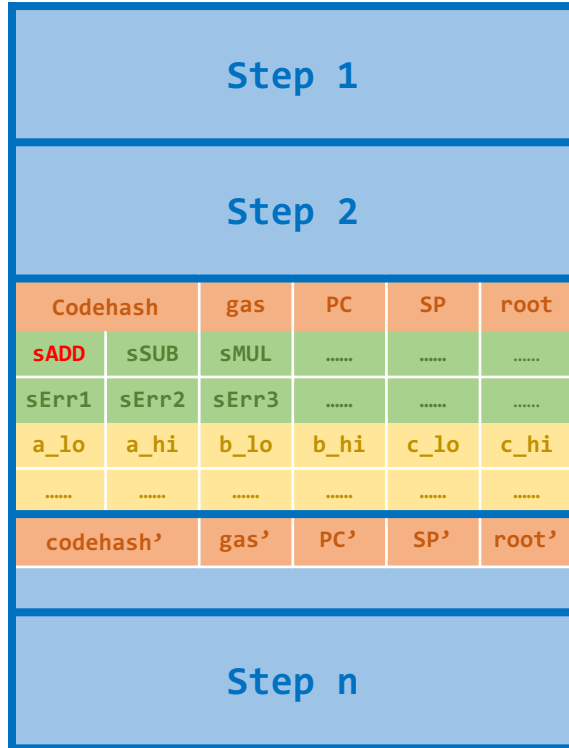
- Codehash
- Gas left
- Program counter, Stack pointer



- **Step context**
  - Codehash
  - Gas left
  - Program counter, Stack pointer
- **Case switch**
  - Select opcodes & error cases
  - Exactly one is switched on



- **Step context**
  - Codehash
  - Gas left
  - Program counter, Stack pointer
- **Case switch**
  - Select opcodes & error cases
  - Exactly one is switched on
- **Opcode specific witness**
  - Extra witness used for opcodes
  - i.e. operands, carry, limbs, ...



- **Step context**

$$sADD * (pc' - pc - 1) == 0$$

$$sADD * (sp' - sp - 1) == 0$$

$$sADD * (gas' - gas - 3) == 0$$

- **Case switch**

$$sADD * (1 - sADD) == 0$$

$$sMUL * (1 - sMUL) == 0$$

...

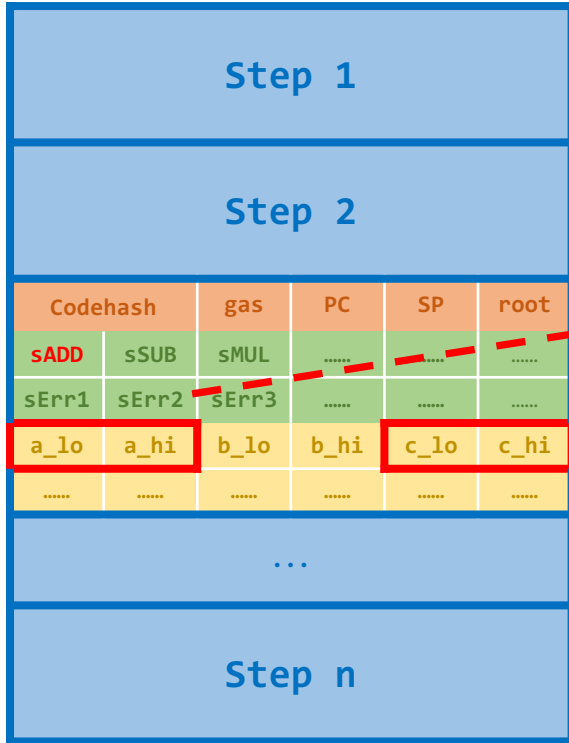
$$sADD + sMUL + \dots + sERRk == 1$$

- **Opcode specific witness**

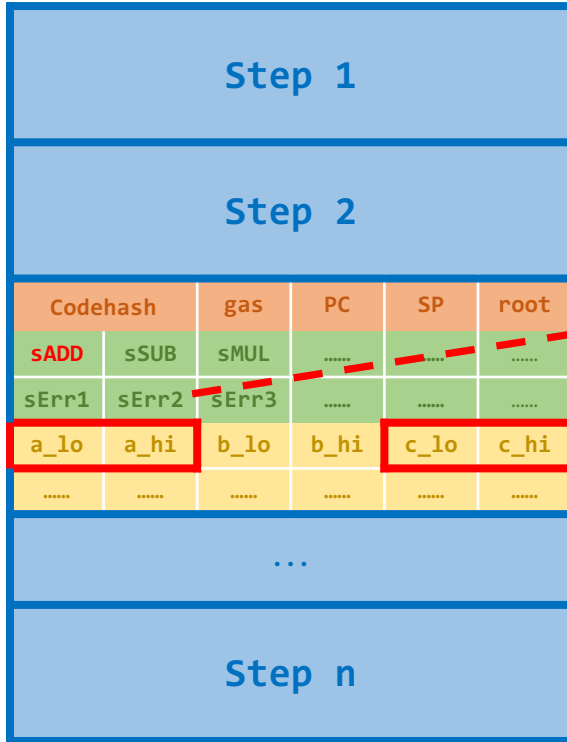
$$sADD * (a\_lo + b\_lo - c\_lo - carry_0 * 2^{128}) == 0$$

$$sADD * (a\_hi + b\_hi + carry_0 - c\_hi - carry_1 * 2^{128}) == 0$$

- Opcode specific witness

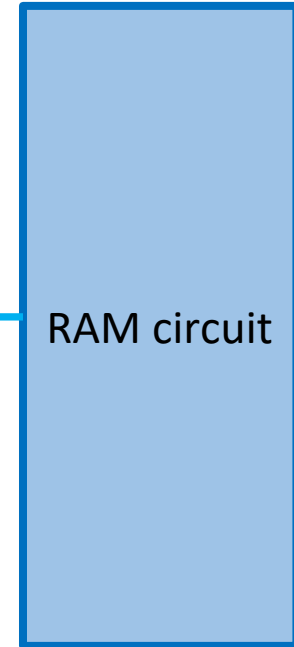


| idx | tag     | addr | R/W | value  |
|-----|---------|------|-----|--------|
| 1   | STACK   | 1023 | 1   | ...    |
| 5   | STACK   | 1022 | 0   | word_a |
| 6   | STACK   | 1023 | 0   | word_b |
| 7   | STACK   | 1023 | 1   | word_c |
| ... | STACK   | ...  | ... | ...    |
| ... | MEMORY  | 0x40 | 1   | ...    |
| ... | MEMORY  | ...  | ... | ...    |
| ... | STORAGE | ...  | ... | ...    |



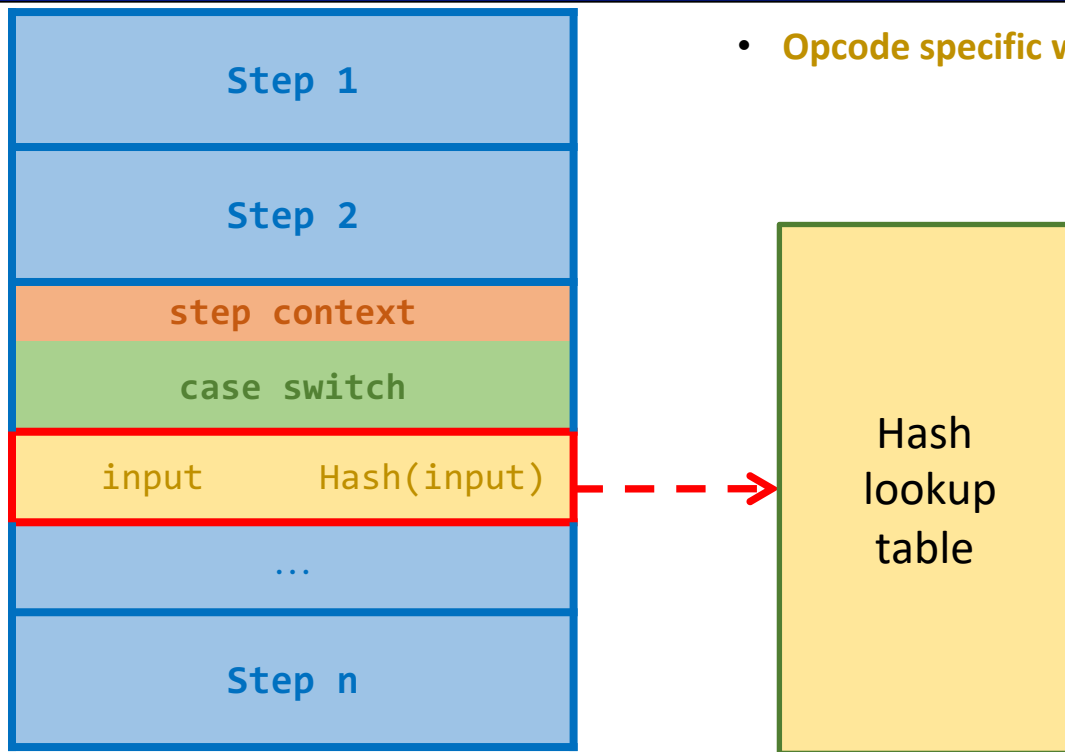
- Opcode specific witness

| idx | tag     | addr | R/W | value  |
|-----|---------|------|-----|--------|
| 1   | STACK   | 1023 | 1   | ...    |
| 5   | STACK   | 1022 | 0   | word_a |
| 6   | STACK   | 1023 | 0   | word_b |
| 7   | STACK   | 1023 | 1   | word_c |
| ... | STACK   | ...  | ... | ...    |
| ... | MEMORY  | 0x40 | 1   | ...    |
| ... | MEMORY  | ...  | ... | ...    |
| ... | STORAGE | ...  | ... | ...    |

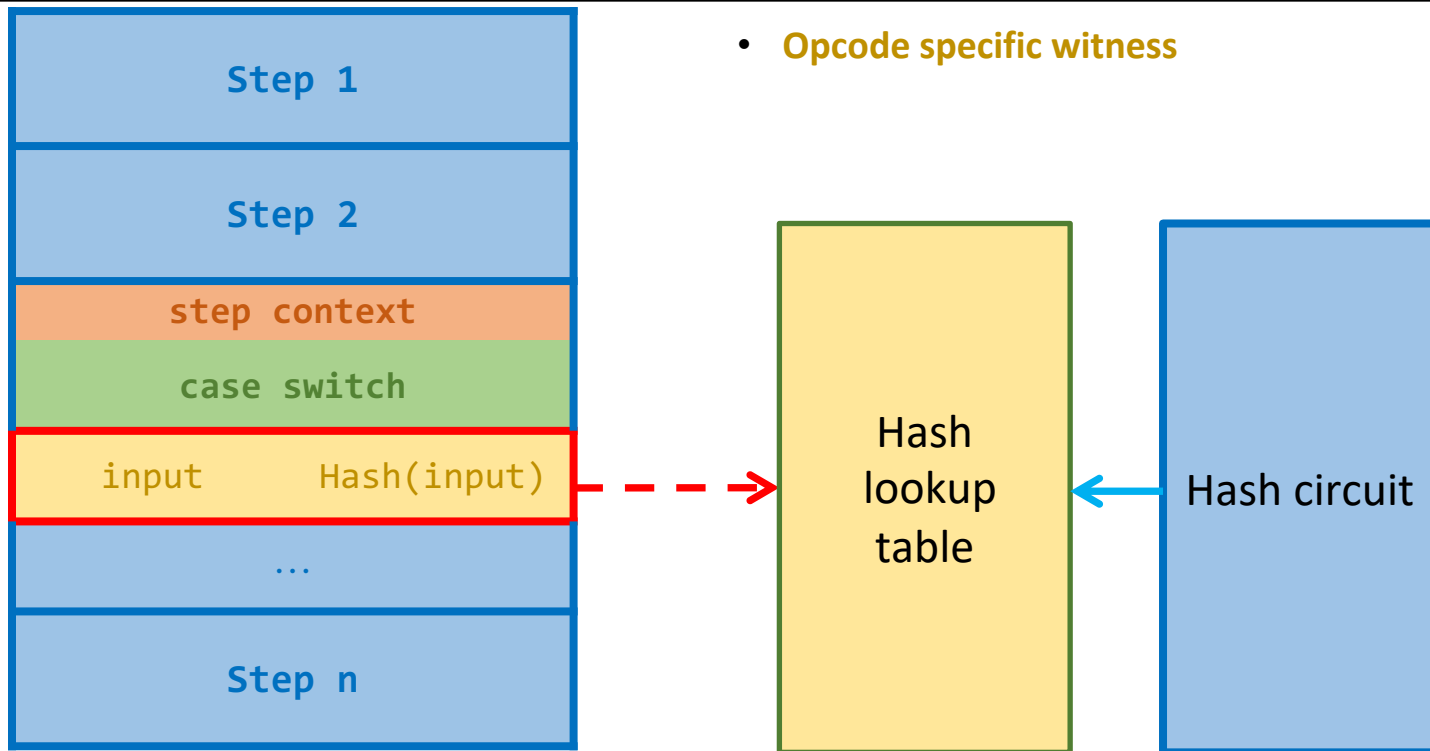




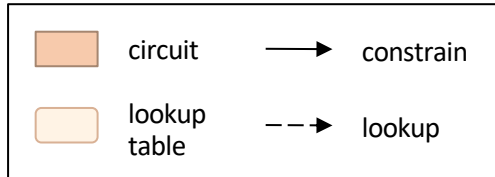
- Opcode specific witness



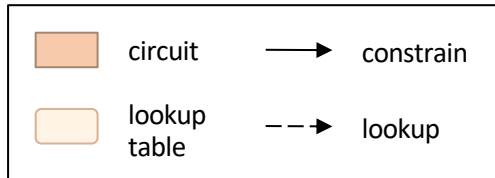
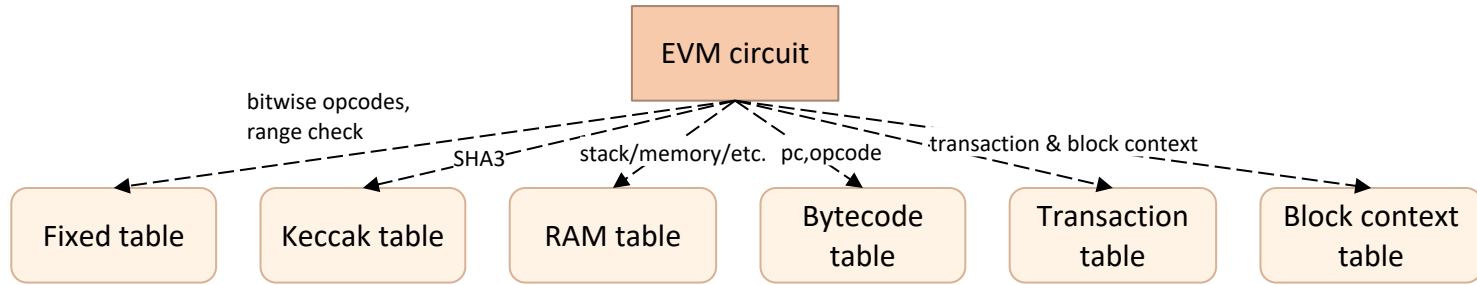
- Opcode specific witness



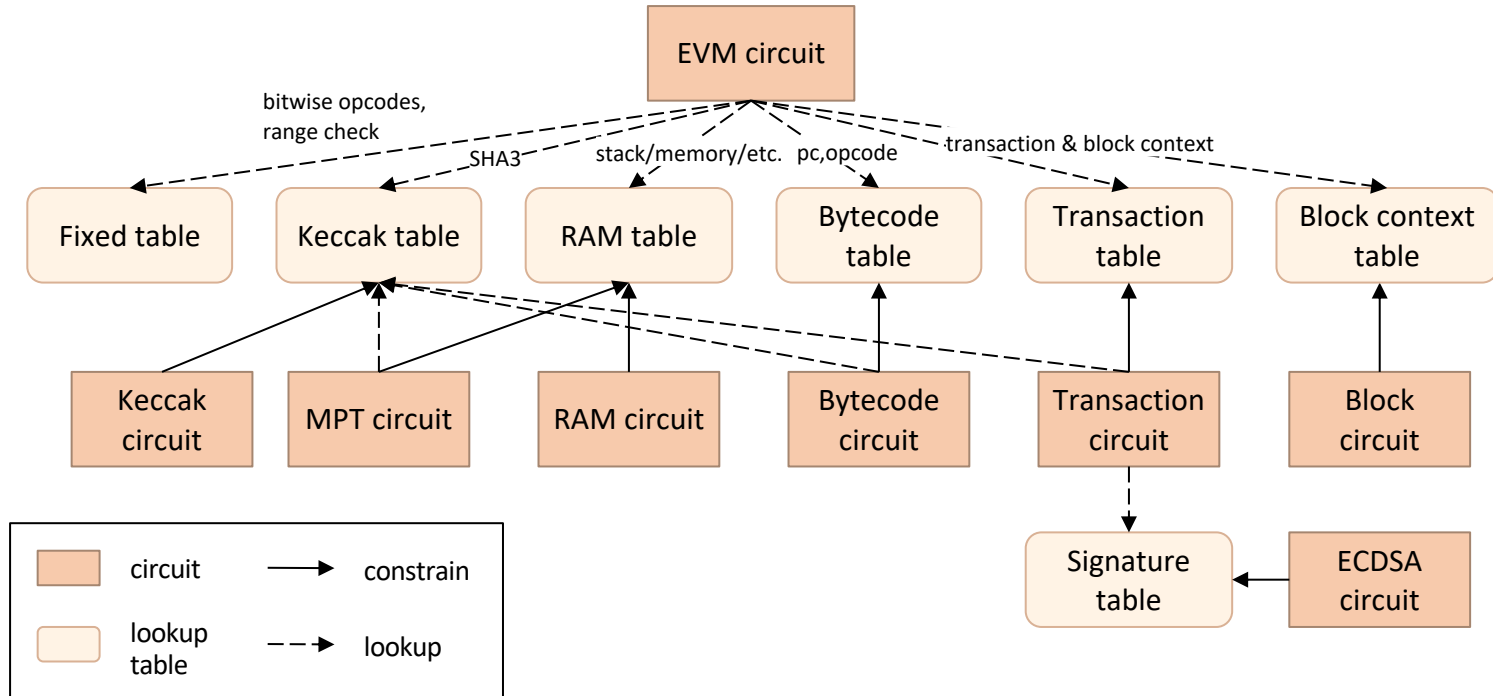
EVM circuit



# The architecture of zkEVM circuits



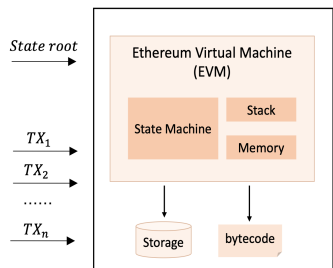
# The architecture of zkEVM circuits



# The workflow of zero-knowledge proof



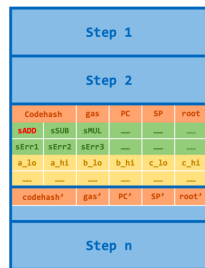
## Program



➔

R1CS  
Plonkish  
AIR

## Constraints



### Step context

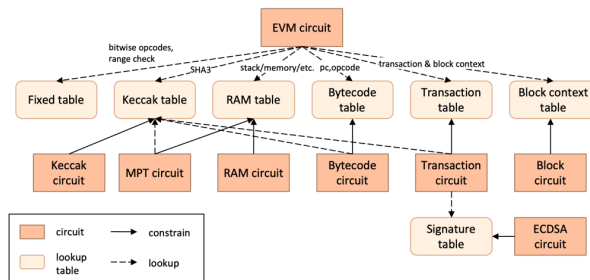
$$\begin{aligned} sADD * (pc' - pc - 1) &== 0 \\ sADD * (sp' - sp - 1) &== 0 \\ sADD * (gas' - gas - 3) &== 0 \end{aligned}$$

### Case switch

$$\begin{aligned} sADD * (1 - sADD) &== 0 \\ sMUL * (1 - sMUL) &== 0 \\ \dots \\ sADD + sMUL + \dots + sERRk &== 1 \end{aligned}$$

### Opcode specific witness

$$\begin{aligned} sADD * (a\_lo + b\_lo - c\_lo - carry0 * 2^{128}) &== 0 \\ sADD * (a\_hi + b\_hi + carry0 - c\_hi - carry1 * 2^{128}) &== 0 \end{aligned}$$



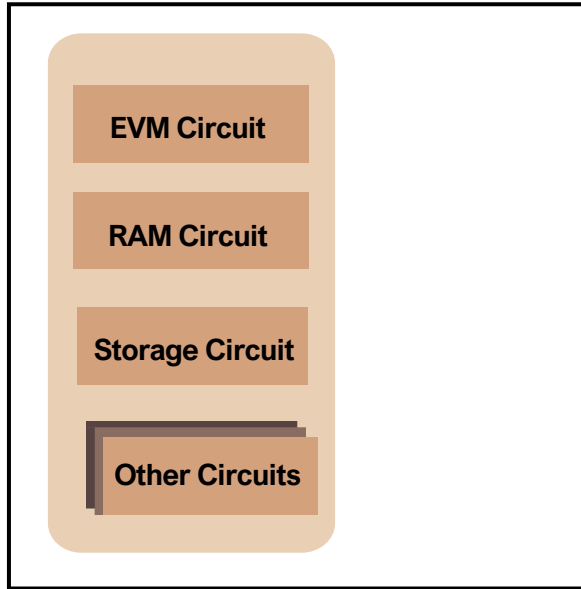
## Proof

➔

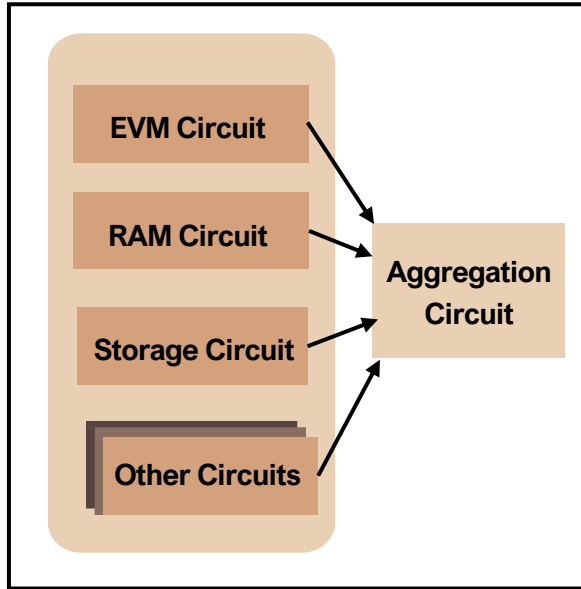
Plonk IOP  
+  
KZG



## zkEVM

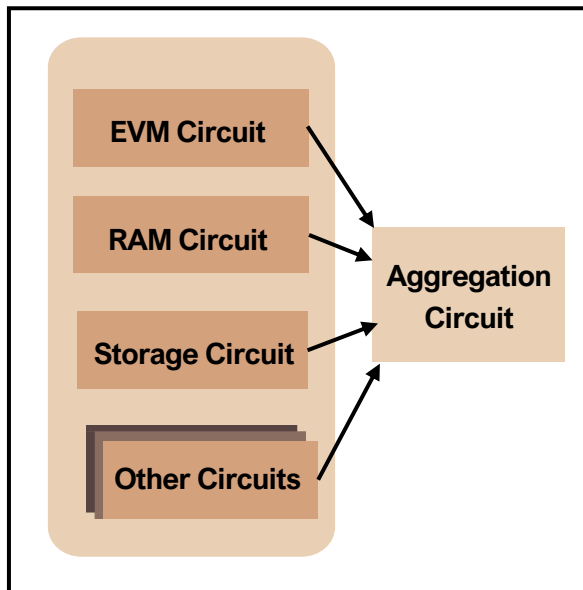


## zkEVM



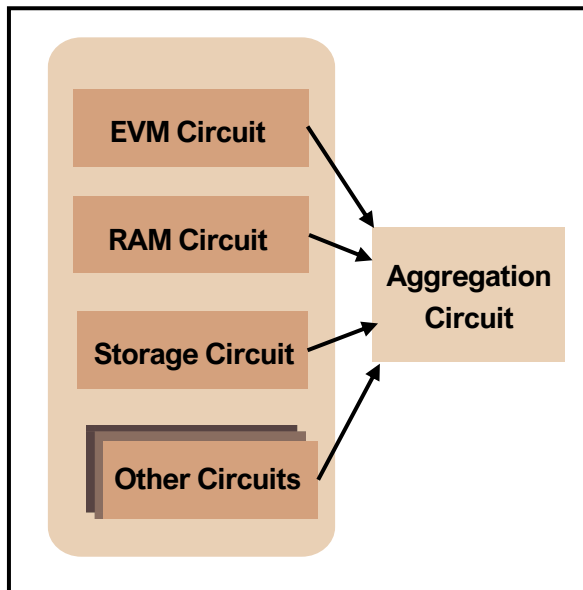


## zkEVM



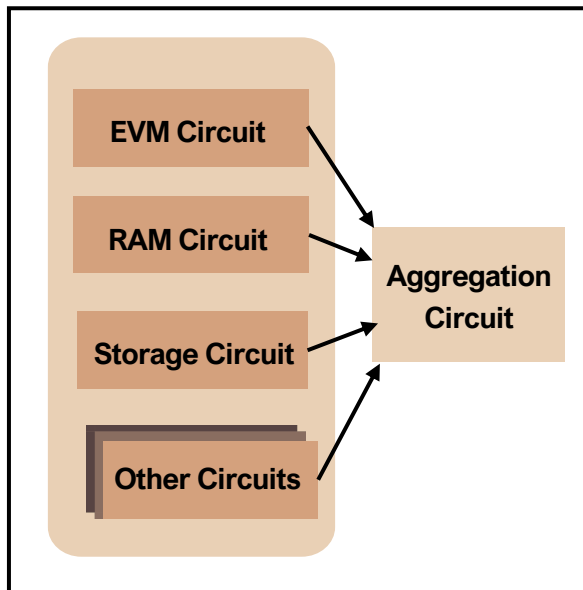
- The first layer needs to handle large computation
  - Custom gate, Lookup support (“expressive”, customized)
  - Hardware friendly prover (parallelizable, low peak memory)
  - The verification circuit is small
  - Transparent or Universal trusted setup
- Some promising candidates
  - Plonky2/Starky /eSTARK
  - Halo2/Halo2-KZG
  - New IOP without FFTs (i.e. HyperPlonk, Plonk without FFT)
  - If Spartan/Virgo/... (sumcheck based) or Nova can support Plonkish

## zkEVM



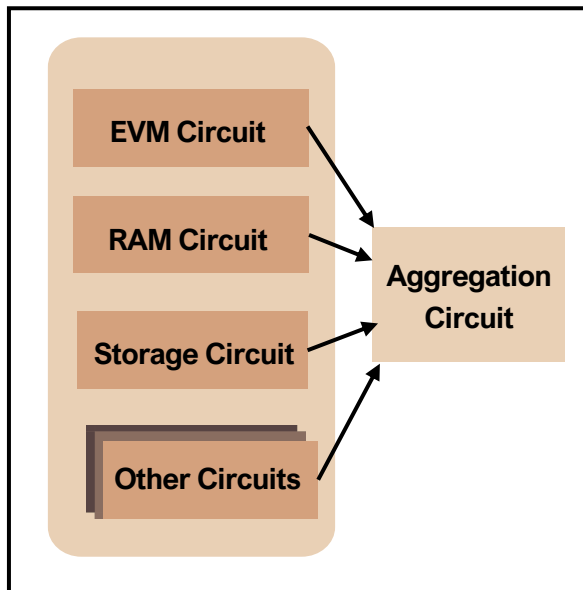
- The second layer needs to be verifier efficient (in EVM)
  - Proof is efficiently verifiable on EVM (small proof, low gas cost)
  - Prove the verification circuit of the former layer efficiently
  - Ideally, hardware friendly prover
  - Ideally, transparent or universal trusted setup
- Some promising candidates
  - Groth16
  - Plonk with very few columns
    - KZG/Fflonk/Keccak FRI (larger code rate)

## zkEVM



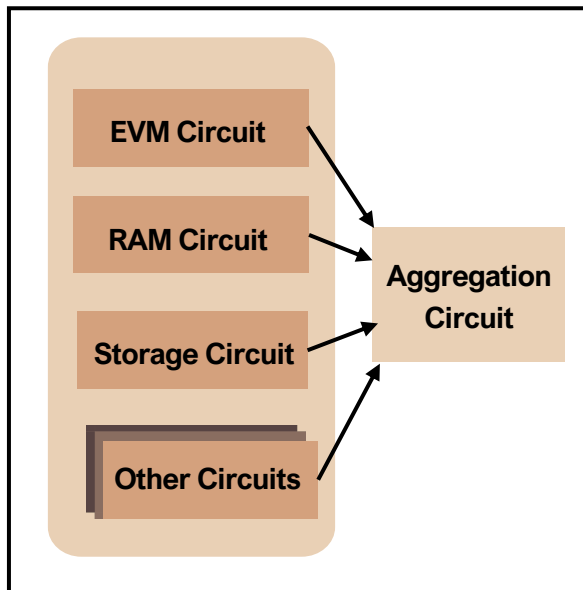
- **The first layer is Halo2-KZG** (Poseidon hash transcript)
  - Custom gate, Lookup support
  - Good enough prover performance (GPU prover)
  - The verification circuit is “small”
  - Universal trusted setup
- **The second layer is Halo2-KZG** (Keccak hash transcript)
  - Custom gate, Lookup support (express non-native efficiently)
  - Good enough prover performance (GPU prover)
  - The final verification cost can be configured to be really small

## zkEVM



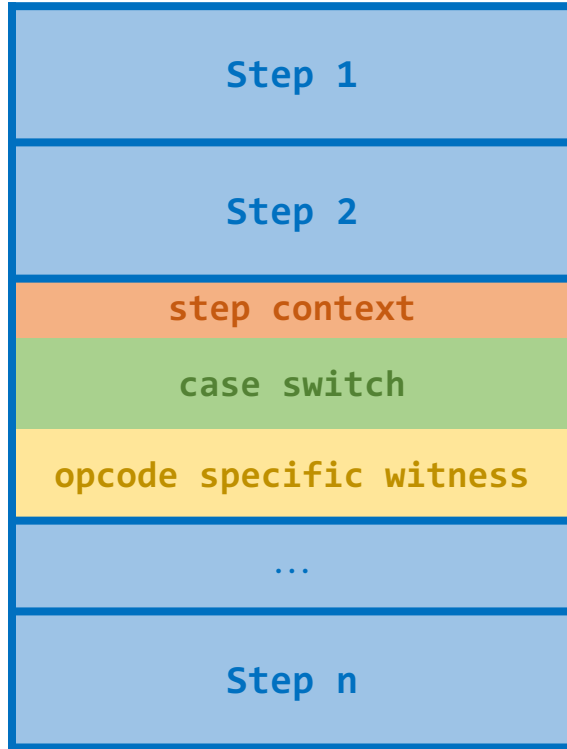
- The first layer needs to be “expressive”
  - EVM circuit has **116 columns, 2496 custom gates, 50 lookups**
  - Highest custom gate degree: 9
  - For 1M gas, EVM circuit needs  **$2^{18}$  rows** (more gas, more rows)
- The second layer needs to aggregate proofs into one proof
  - Aggregation circuit has **23 columns, 1 custom gate, 7 lookups**
  - Highest custom gate degree: 5
  - For aggregating EVM, RAM, Storage circuits, it needs  **$2^{25}$  rows**

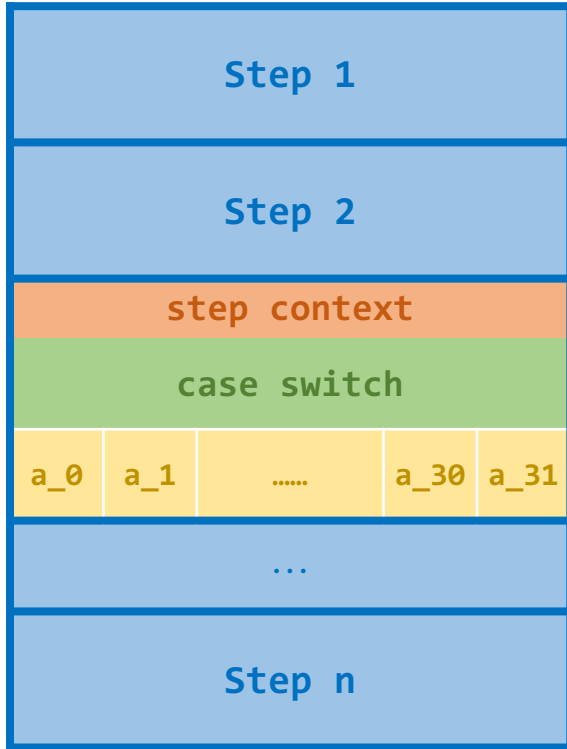
## zkEVM



- Our GPU prover optimization
  - MSM, NTT and quotient kernel
  - Pipeline and overlap CPU and GPU computation
  - Multi-card implementation, memory optimization
- The Performance
  - For EVM circuit
    - CPU prover takes 270.5s, GPU prover takes **30s (9x speedup!)**
  - For Aggregation circuit
    - CPU prover takes 2265s, GPU prover takes **149s (15x speedup!)**
  - For 1M gas, first layer takes 2 minutes, second layer takes 3 minutes

- Background & motivation
- Build a zkEVM from scratch
- Interesting research problems
- Other applications using zkEVM

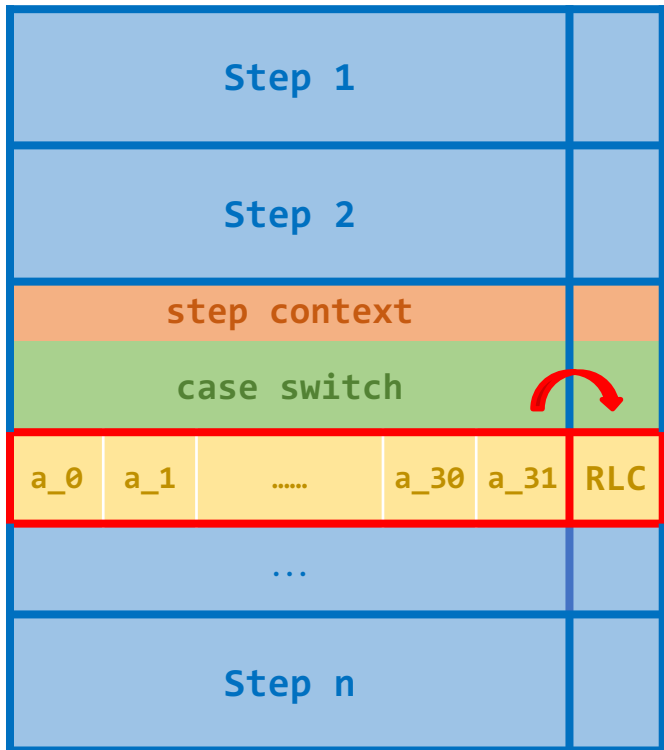




- Break down 256-bit word into 32 8-bit limbs.

$$A = a_0 + a_1 * 256 + a_2 * 256^2 + \dots + a_{31} * 256^{31}$$



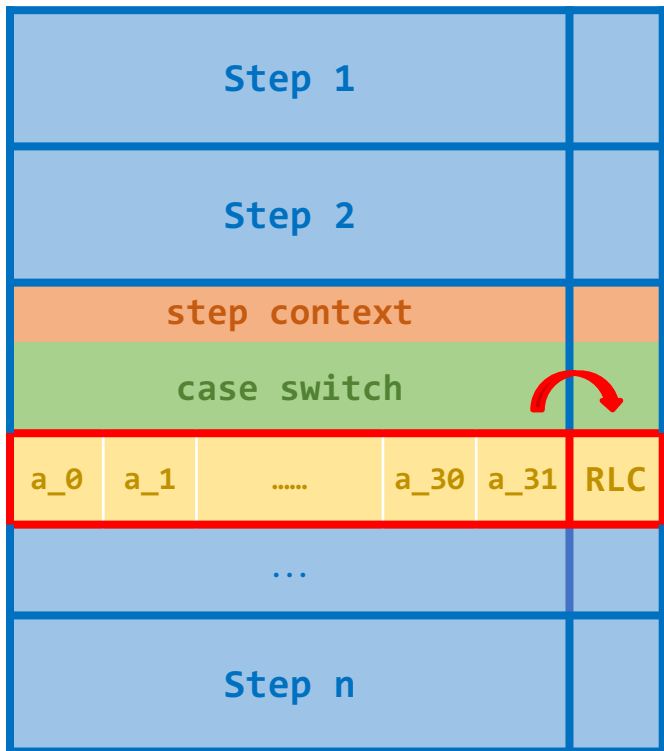


- Break down 256-bit word into 32 8-bit limbs.

$$A = a_0 + a_1 * 256 + a_2 * 256^2 + \dots + a_{31} * 256^{31}$$

- Encode EVM word using RLC (Random Linear Combination)

$$A_{RLC} \equiv a_0 + a_1 * \theta + a_2 * \theta^2 + \dots + a_{31} * \theta^{31} \pmod{F_p}$$



- Break down 256-bit word into 32 8-bit limbs.

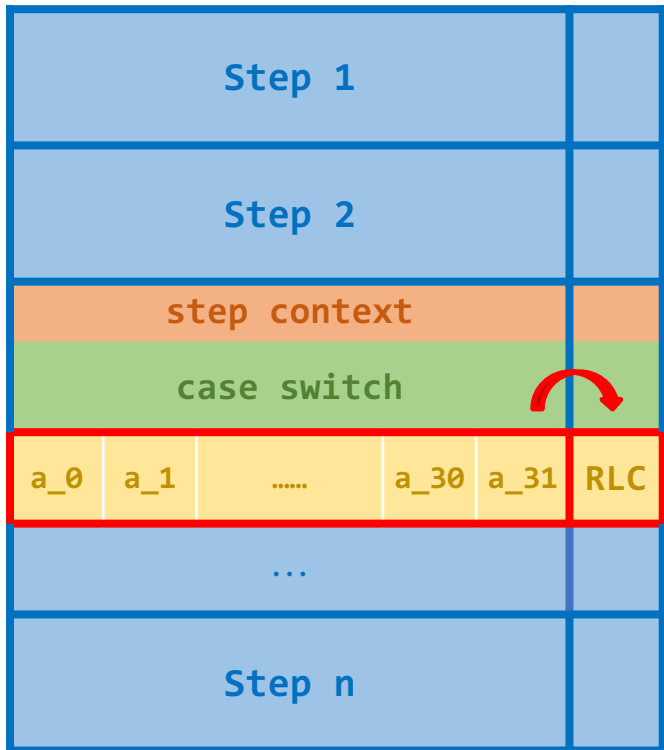
$$A = a_0 + a_1 * 256 + a_2 * 256^2 + \dots + a_{31} * 256^{31}$$

- Encode EVM word using RLC (Random Linear Combination)

$$A_{RLC} \equiv a_0 + a_1 * \theta + a_2 * \theta^2 + \dots + a_{31} * \theta^{31} \pmod{F_p}$$

- $\theta$  should be computed after  $a_0, \dots, a_{31}$  are fixed

- Multi-phase prover: synthesis part of witness, derive witness



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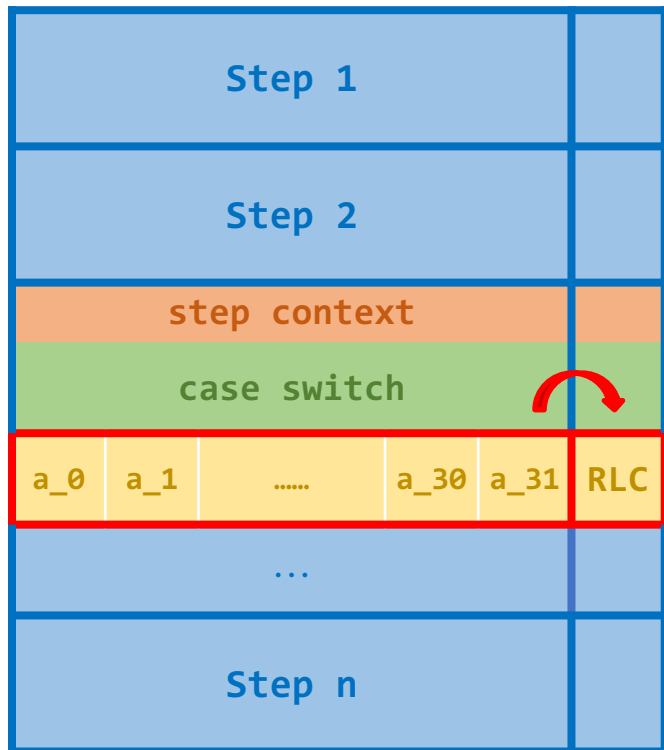
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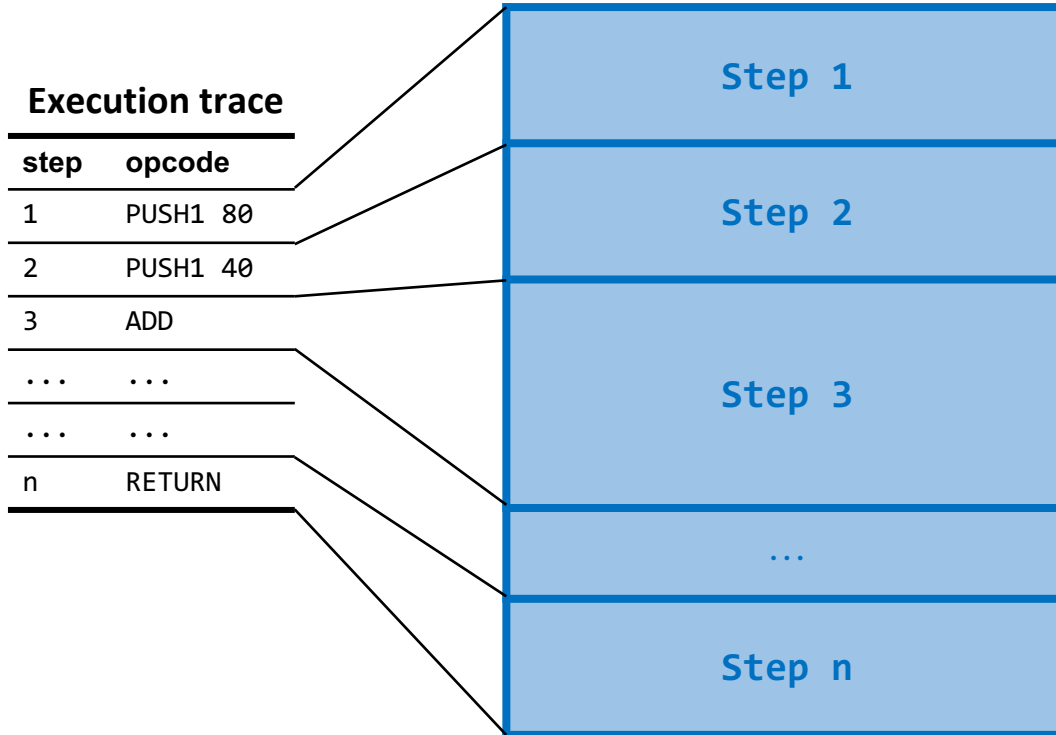
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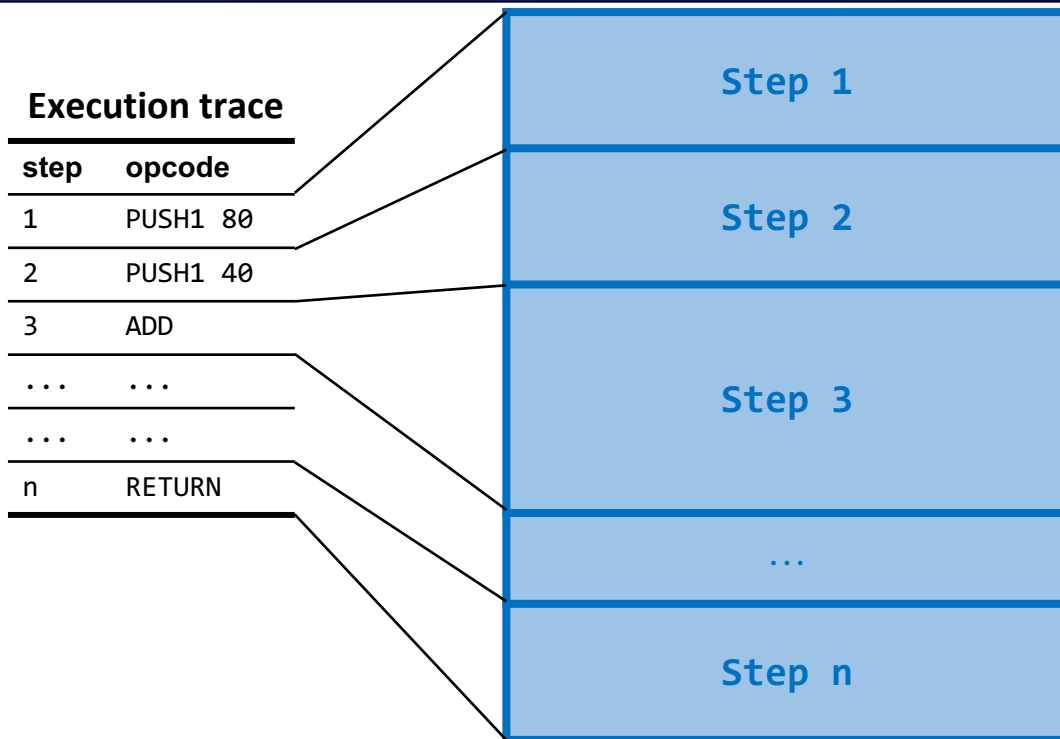
- RLC is useful in many places

- Compress EVM word into one value
- Encode dynamic length input
- Lookup layout optimization

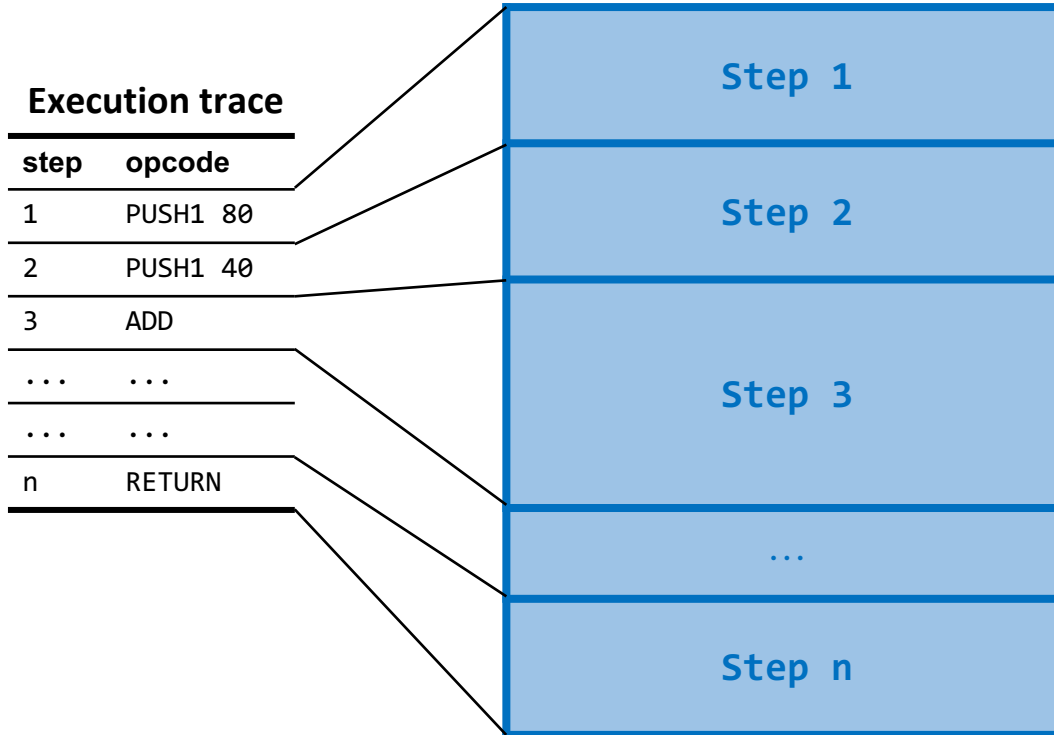


- Break down 256-bit word into 32 8-bit limbs.
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- $\theta$  should be computed after  $a_0, \dots, a_{31}$  are fixed
  - Multi-phase prover: synthesis part of witness, derive witness
- **RLC is useful in many places, remove it?**
  - Compress EVM word into one value  $\rightarrow$  high, low for EVM word
  - Encode dynamic length input  $\rightarrow$  fixed chunk, dynamic times
  - Lookup layout optimization



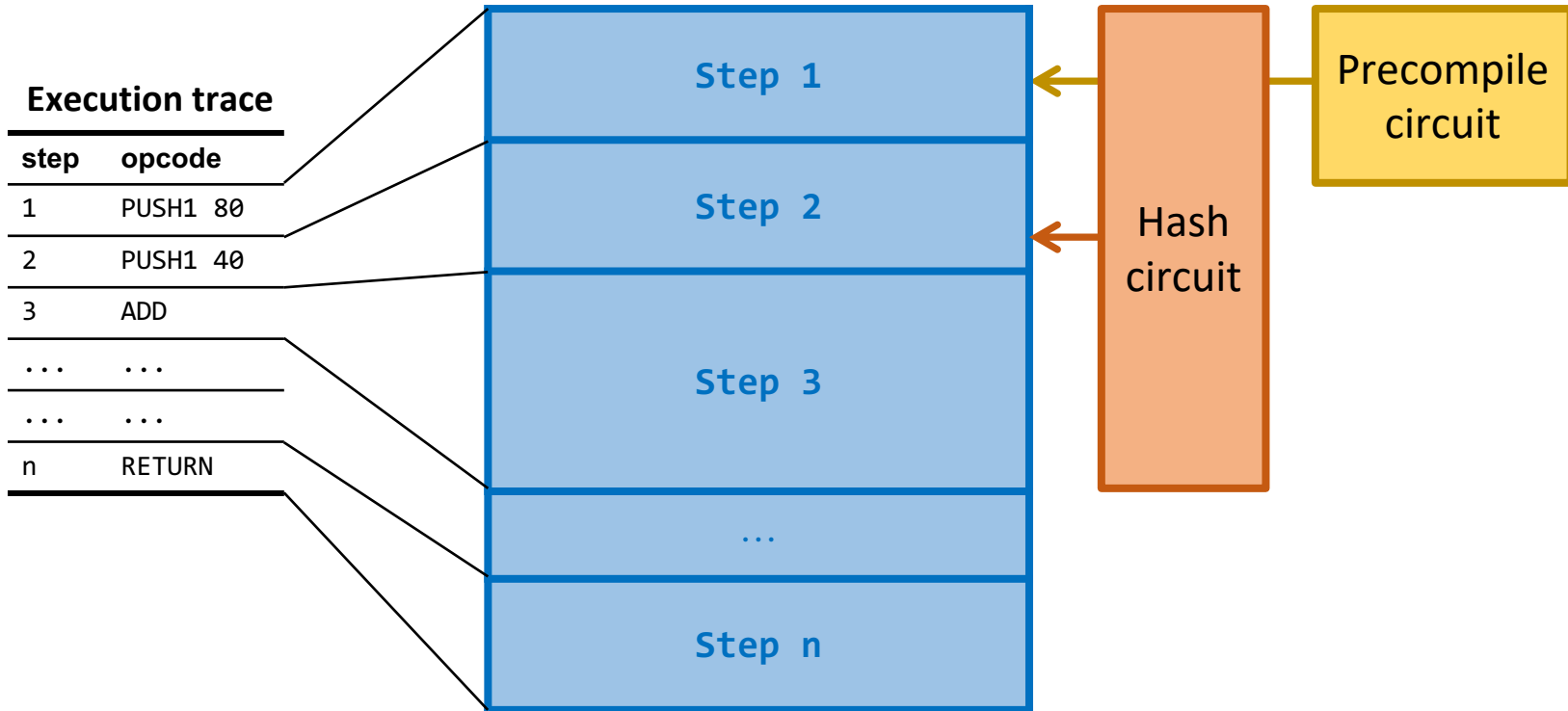


- The execution trace is dynamic
  - enable different constraints
  - permutation is not fixed
  - hard to use standard gates



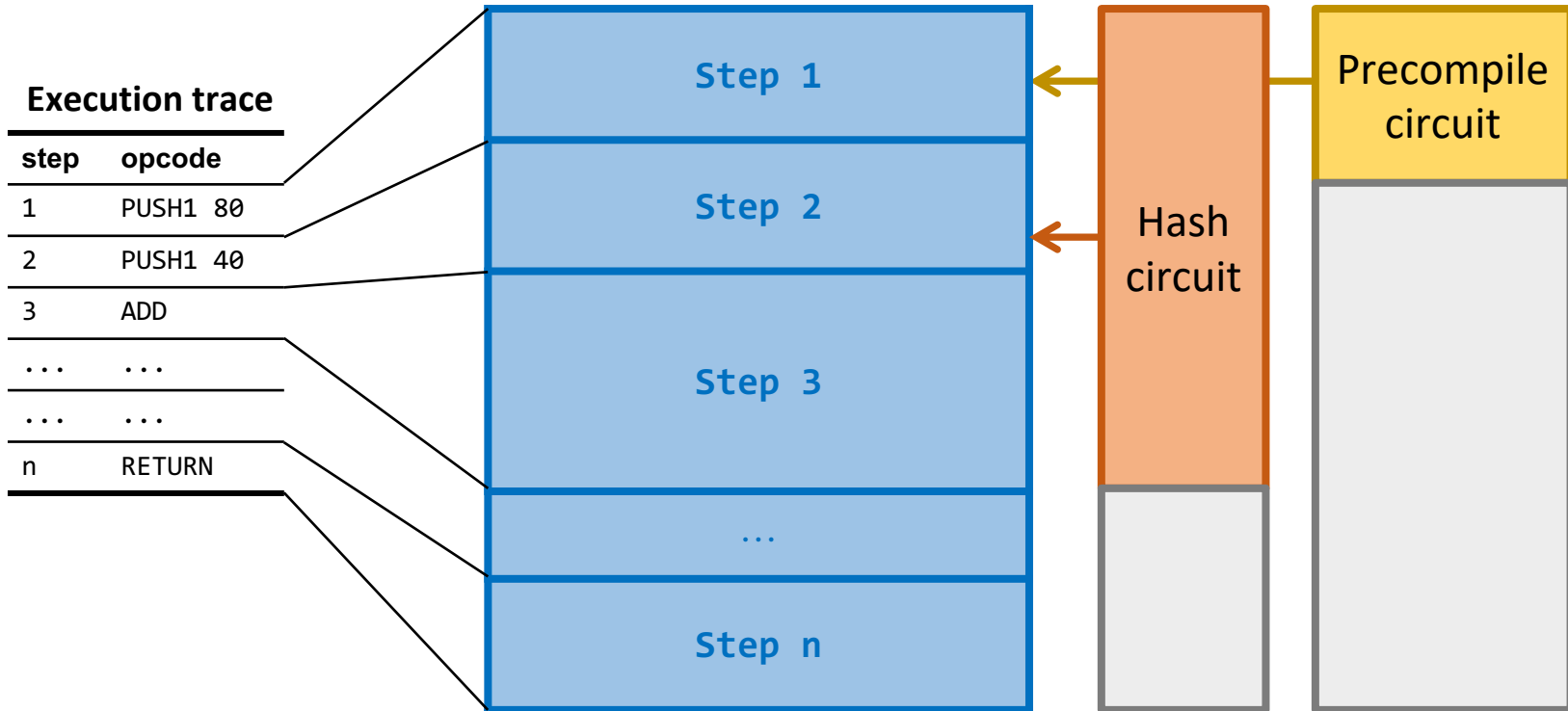
- The execution trace is dynamic
  - enable different constraints
  - permutation is not fixed
  - hard to use standard gates
- **Better way to layout?**
  - We have 2000+ custom gates
  - Different rotation to access cells

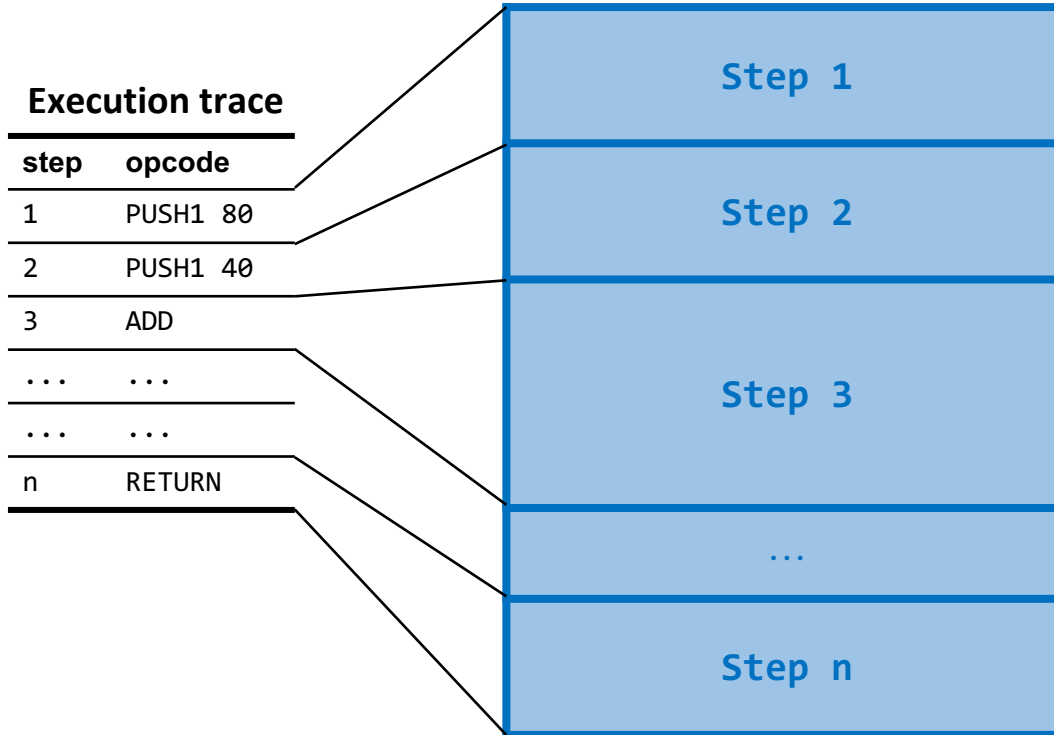
# Circuit - Dynamic size



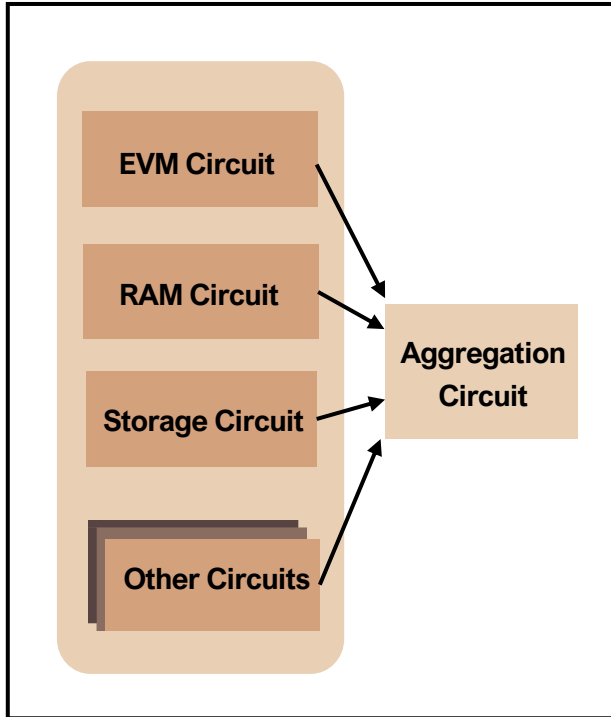


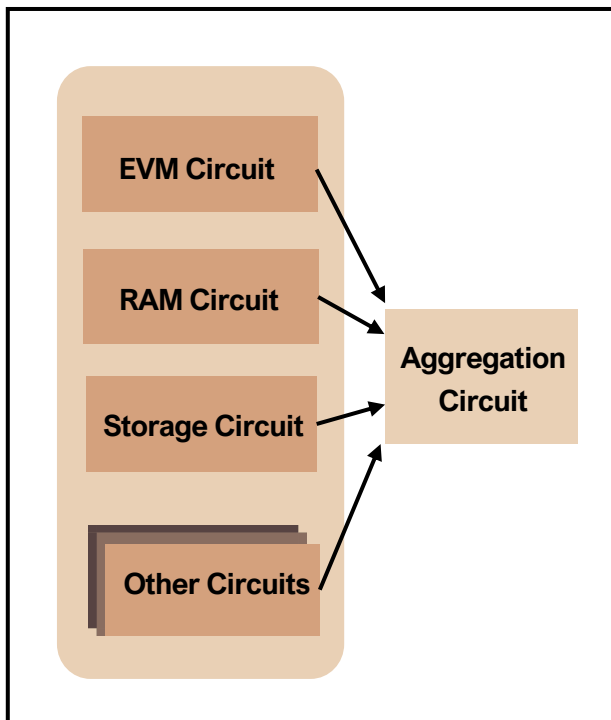
# Circuit - Dynamic size



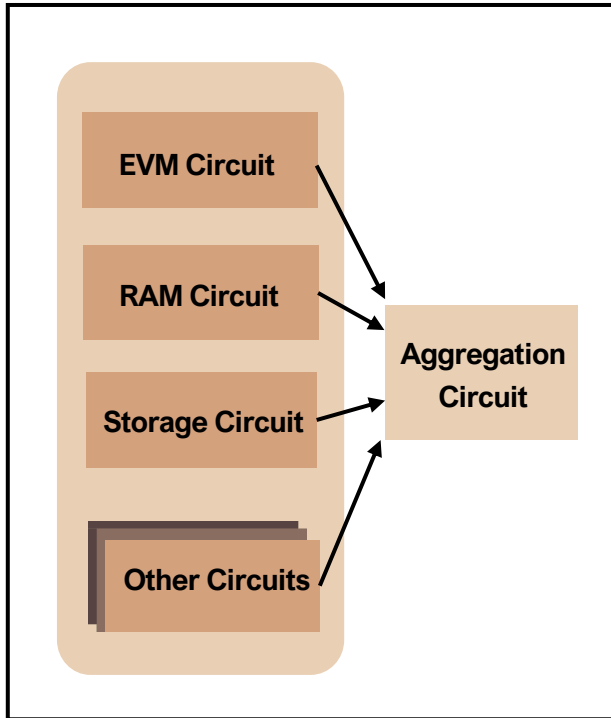


- Some bad influences
  - i.e. Maximum number of Keccaks
  - i.e. Mload is more costly (more rows)
  - i.e. Pay larger proving cost for padding
- **Can we make zkEVM dynamic?**





- Our prover
  - GPU can make MSM & NTT really fast  
Bottleneck moves to witness generation & data copy
  - Need large CPU memory (1TB -> 300GB+)
- **Hardware friendly prover?**
  - Parallelizable & Low peak memory
  - Don't ignore the witness generation
  - Run on cheap machines, more decentralized



- **Best way to compose different proof system?**
  - The first layer needs to be “expressive”
  - The second layer needs to be verifier efficient (in EVM)
  - **Should we move to smaller field?**  
(Breakdown/FRI with Goldilocks, Mersenne prime)
  - **Should we stick to EC-based constructions?**  
(SuperNova, Cyclic elliptic curve with fast MSM)
  - More options waiting for you → Reach out to us!

## Why? Code risk.

```
275 fn signextend_gadget_exhaustive() {
276     let pos_value: [u8; 32] = [0b01111111u8; 32];
277     let neg_value: [u8; 32] = [0b10000000u8; 32];
278
279     let pos_extend = 0u8;
280     let neg_extend = 0xFFu8;
281
282     for (value, byte_extend) in vec![(pos_value, pos_extend), (neg_value, neg_extend)].iter() {
283         for idx in 0..33 {
284             test_ok(
285                 (idx as u64).into(),
286                 Word::from_little_endian(value),
287                 Word::from_little_endian(
288                     &[0..32]
289                     .map(|i| if i > idx { *byte_extend } else { value[i] })
290                     .collect::<Vec<u8>>(),
291             );
292         }
293     }
294 }
295 }
296 }
```

PSE ZK-EVM circuits: 34,469 lines of code

34,469 lines of code are not going to be bug-free for a long long time.



Screenshot From Vitalik

## Why? Code risk.

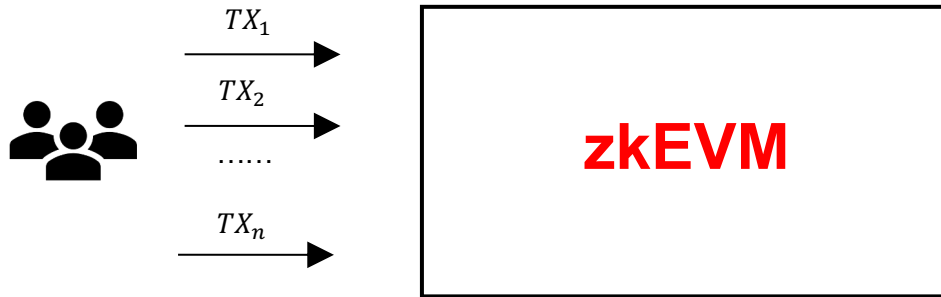
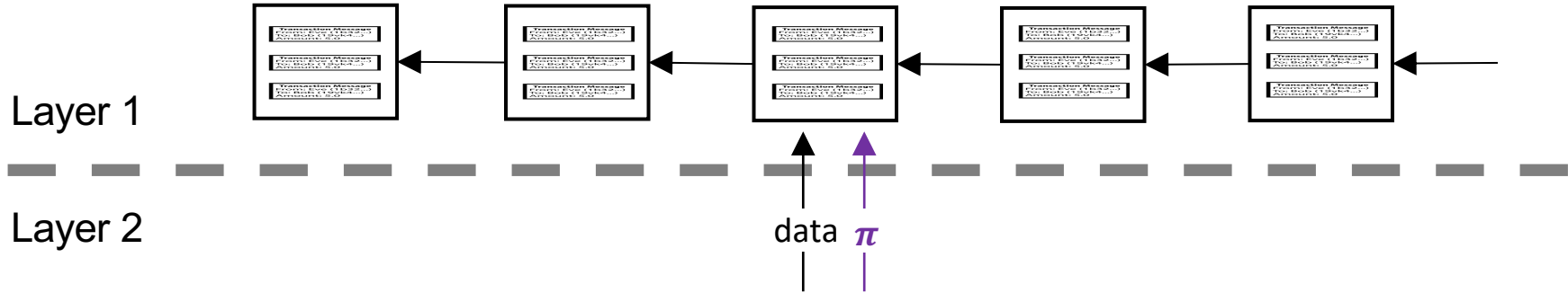
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PSE ZK-EVM circuits: 34,469 lines of code

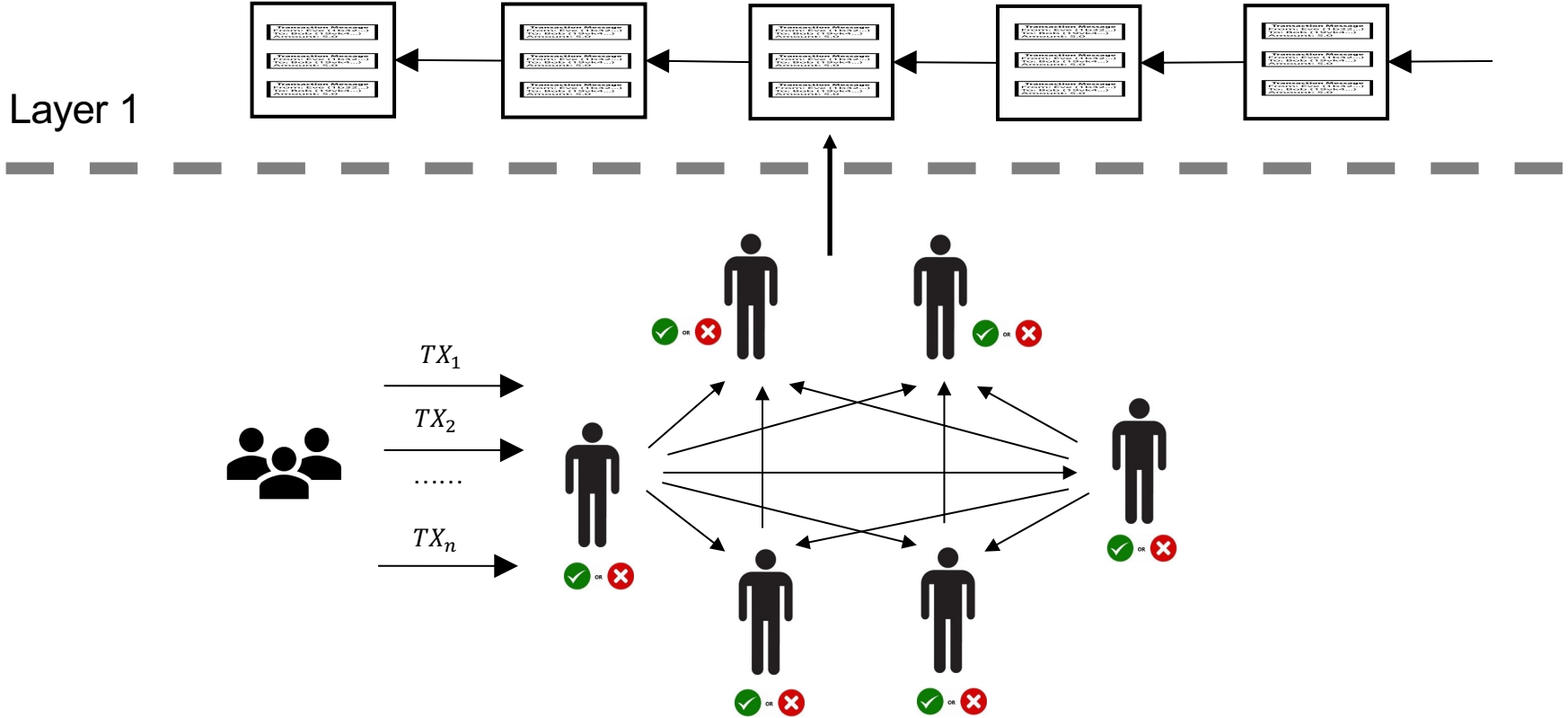
- **The best way to audit zkEVM circuit?**  
**(In general, VM circuit based on IR)**
  - Audit Manually
  - Formal verification for some opcodes

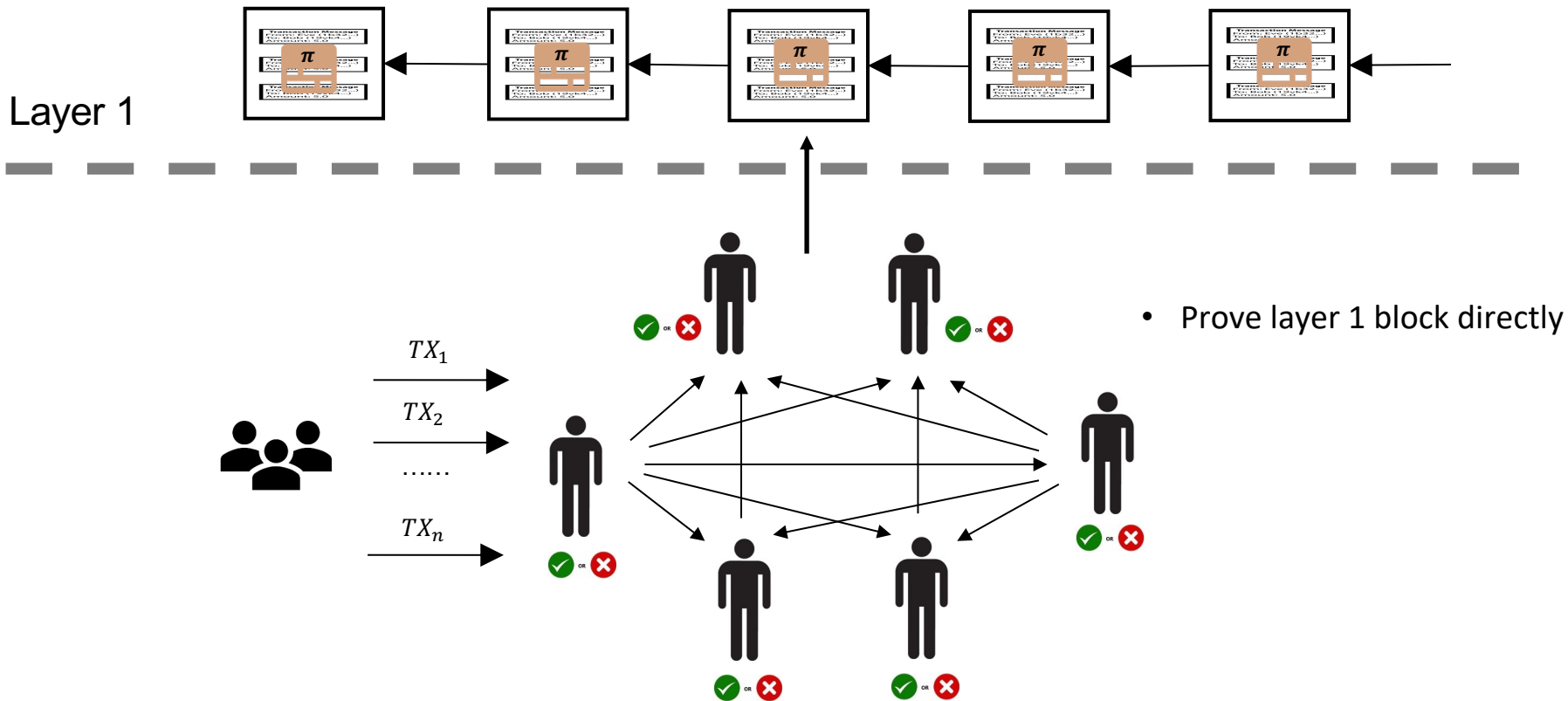
- Background & motivation
- Build a zkEVM from scratch
- Interesting research problems
- Other applications using zkEVM

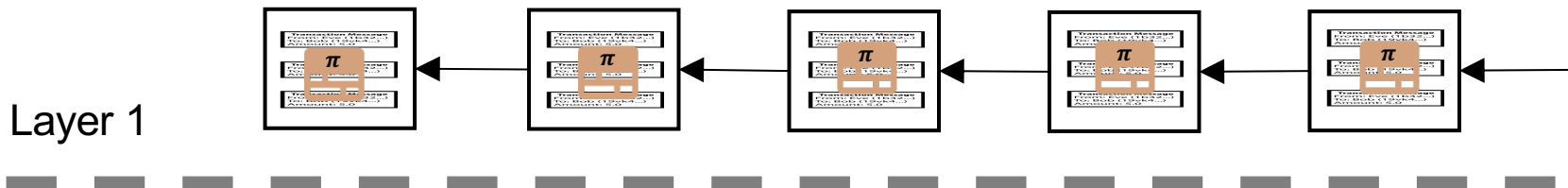




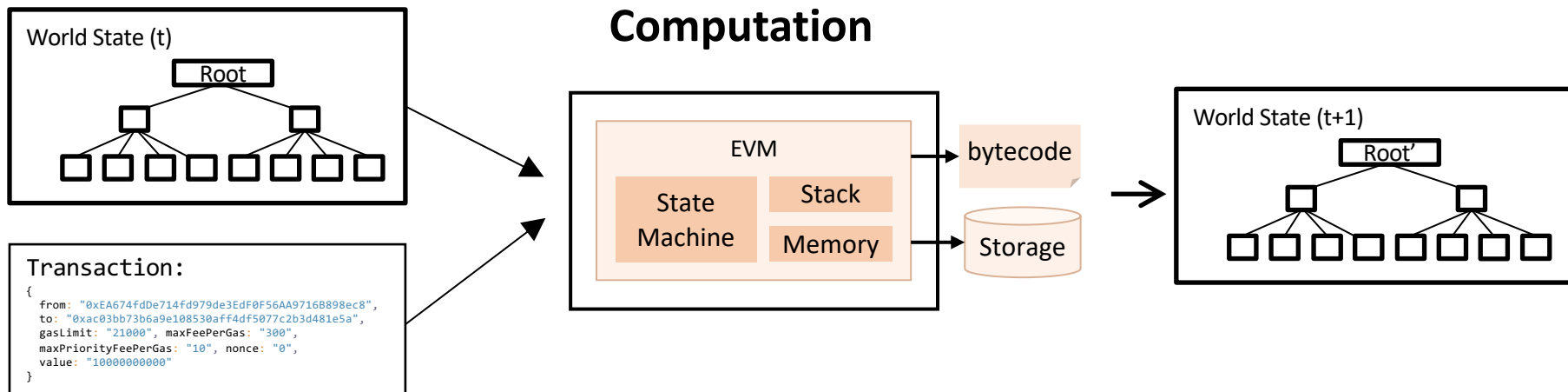
- Prove n Txs on layer 2 are valid
- Verify proof in smart contract



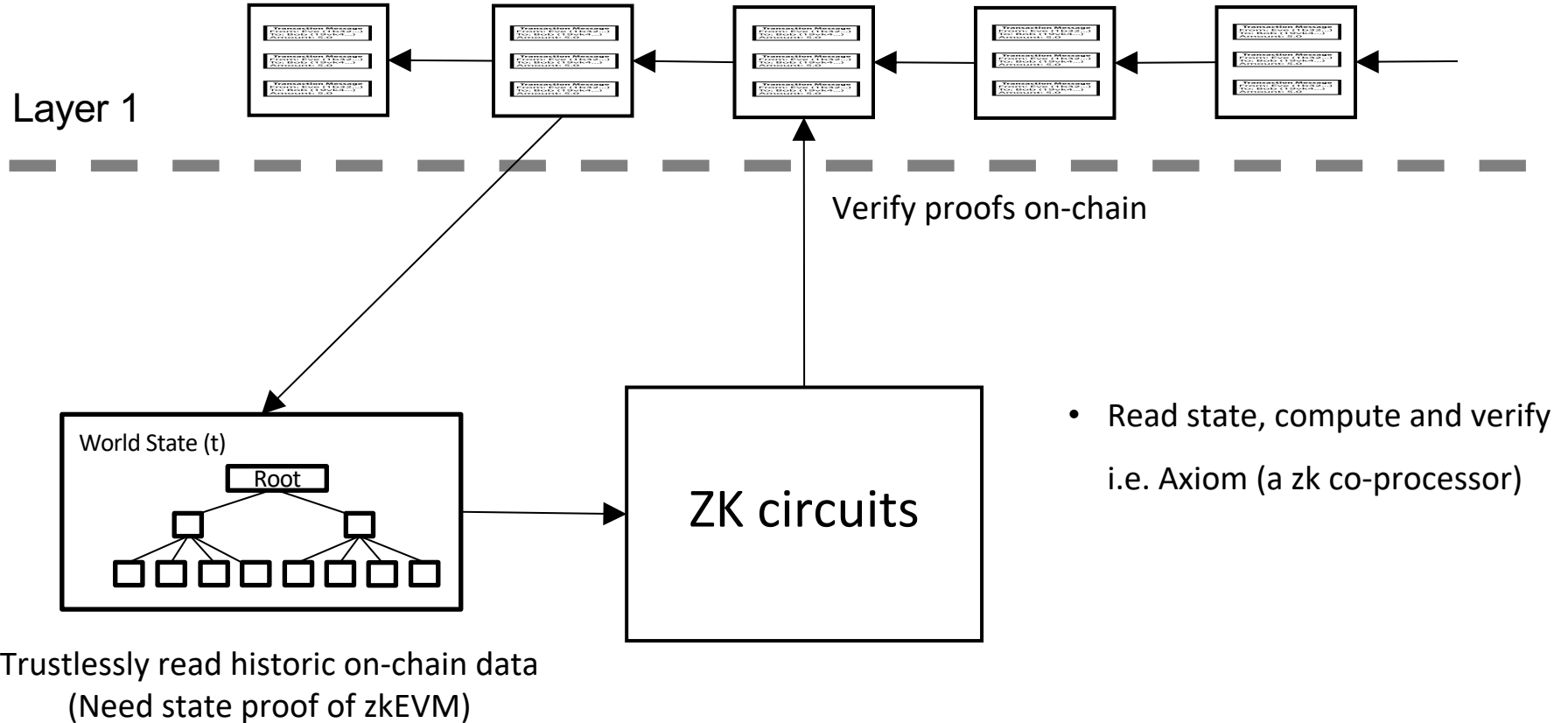




- Prove layer 1 block directly
- Recursive proof
- One proof for blockchain



- Prove I know a Tx that can change the state root to state root'  
(Prove I know a bug that can change your balance, etc)



- **We are building cool things at Scroll!**
  - Scroll is a general purpose scaling solution for Ethereum based on zkRollup
  - Building a native zkEVM using very advanced circuit arithmetization + proof system
  - Building fast prover through hardware acceleration (GPU in production) + proof recursion
  - We are live on the testnet with a production-level robust infrastructure
  
- **There are a bunch of interesting problems to be solved!**
  - Protocol design and mechanism design
  - Zk engineer & research for practical efficiency

# Thank you!

 @yezhang1998

Testnet



Discord



Hiring

